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Chapter Title	Beyond Long-Term Declarative Memory: Evaluating Hippocampal Contributions to Unconscious Memory Expression, Perception, and Short-Term Retention
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Abstract	It is well established that the hippocampus and adjacent medial temporal lobe (MTL) cortical structures are necessary for long-term declarative (conscious) memory, but investigators continue to cast a wider net, suggesting a considerably broader reach for these structures than standard perspectives have proposed. The objective of this chapter is to explore possible contributions made by the hippocampus to perception, short-term or working memory, and expressions of memory in the absence of conscious awareness. Questions about whether and how the hippocampus supports processing in these domains have garnered a good deal of interest in recent years, and healthy debate about the viability of claims that have been made in
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the literature is ongoing (cf. Eichenbaum 2013; Squire and Dede 2015). In the sections that follow, background context for each of these domains is provided followed by select empirical findings that hint at possible hippocampal contributions to cognition beyond long-term declarative memory. Along the way, dissenting viewpoints and methodological hurdles are considered alongside alternative accounts for key findings. As will be seen, it is not always the case that we advocate for a particular perspective, but we do make efforts to be even-handed in our treatment of the literature. In the end, we conclude by attempting to identify questions that remain unresolved and offer some suggestions about how ongoing controversies might be reconciled in future work.

Beyond Long-Term Declarative Memory: Evaluating Hippocampal Contributions to Unconscious Memory Expression, Perception, and Short-Term Retention

Deborah E. Hannula, Jennifer D. Ryan, and David E. Warren

Abstract It is well established that the hippocampus and adjacent medial temporal lobe (MTL) cortical structures are necessary for long-term declarative (conscious) memory, but investigators continue to cast a wider net, suggesting a considerably broader reach for these structures than standard perspectives have proposed. The objective of this chapter is to explore possible contributions made by the hippocampus to perception, short-term or working memory, and expressions of memory in the absence of conscious awareness. Questions about whether and how the hippocampus supports processing in these domains have garnered a good deal of interest in recent years, and healthy debate about the viability of claims that have been made in the literature is ongoing (cf. Eichenbaum 2013; Squire and Zola-Morgan 2015). In the sections that follow, background context for each of these domains is provided followed by select empirical findings that hint at possible hippocampal contributions to cognition beyond long-term declarative memory. Along the way, dissenting viewpoints and methodological hurdles are considered alongside alternative accounts for key findings. As will be seen, it is not always the case that we advocate for a particular perspective, but we do make efforts to be even-handed in our treatment of the literature. In the end, we conclude by attempting to identify questions that remain unresolved and offer some suggestions about how ongoing controversies might be reconciled in future work.

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42 questions that remain unresolved and offer some suggestions about how ongoing
43 controversies might be reconciled in future work.

44 **Some Context: The Medial Temporal Lobe Memory System**

45 As is often the case when MTL function is considered, it is appropriate to begin
46 with a brief discussion of Henry Molaison (H.M.), who participated in research for
47 decades following bilateral MTL surgical resection in 1953 (c.f. Corkin 2002;
48 Eichenbaum 2013; Squire 2009). In early descriptions, and subsequent empirical
49 work, it was immediately clear that H.M.'s long-term memory (LTM) was severely
50 compromised—indeed, he was said to “forget the incidents of . . . daily life as fast as
51 they occur[ed]” (p. 15, Scoville and Milner 1957). Nonetheless, as reported by
52 Scoville and Milner (1957), he could retain three digit numbers and unrelated word
53 pairs for several minutes in the absence of distraction, and his performance on a
54 battery of tests that tapped perception, abstract thinking, and reasoning ability was
55 preserved.

56 Subsequent studies of MTL function largely confirmed these initial observations
57 and set the stage for decades of research that has been squarely focused on questions
58 about how exactly structures in the MTL contribute to LTM. Most important for our
59 purposes, this work has led to claims for the dissociation of declarative (consciously
60 accessible, reportable) LTM, which arguably depends critically on MTL integrity,
61 and non-declarative (consciously inaccessible) LTM, said to be independent of
62 these structures. Once again, some of the earliest evidence in favor of this dissoci-
63 ation originated with HM. For example, severe impairments were evident on
64 standardized tests of LTM, and it was noted that “once he had turned to a new
65 task the nature of the preceding one could no longer be recalled, nor the test
66 recognized if repeated” (Scoville and Milner 1957, p. 108). Nevertheless,
67 H.M. did acquire new skills. For example, like healthy controls, his ability to
68 trace within the outline of a star using only the reflection from a mirror improved

with practice (Milner 1962; Milner et al. 1998; Gabrieli et al. 1993). What made this observation so striking was that his memories for the *experiences* associated with skill acquisition (e.g., the testing apparatus, task, and experimenter) were lost despite clear evidence for long-lasting gains in performance (for review, see Hannula and Greene 2012).

A major effort of contemporary research has been to determine whether the hippocampus contributes to LTM in a qualitatively different way than surrounding MTL cortical structures (i.e., perirhinal, parahippocampal, and entorhinal cortices). While general consensus has not yet been achieved, and perspectives continue to evolve (see chapter “Dynamic Cortico-Hippocampal Networks Underlying Memory and Cognition: The PMAT Framework” by Inhoff & Ranganath), many theories seem to share some version of the view that the hippocampus, which sits at the top of the MTL processing hierarchy, is ideally positioned to bind together converging inputs (e.g., Cohen and Eichenbaum 1993; Davachi 2006; Diana et al. 2007; Montaldi and Mayes 2010). As described in detail elsewhere, the resulting relational memory representations permit us to retrieve rich, multifaceted episodic memories of objects that co-occur in space and time (cf. Eichenbaum and Cohen 2014). It is in this context that questions have often been posed about whether or not the role of the hippocampus in cognition might go further than had originally been appreciated. Indeed, it was only in this context that we could begin to address these questions because tasks had to be developed that would tax the processing and representational affordances that are unique to the hippocampus. In so doing, it seems that the reach of the hippocampus is indeed broader than standard textbook descriptions would have us believe; research outcomes consistent with this claim are considered in the sections that follow, along with associated counterevidence that has been reported in the literature.

Unconscious or Implicit Memory

That the hippocampus contributes critically to consciously accessible, or declarative, memory is not subject to debate. Indeed, all previous and current memory systems theories acknowledge a connection between hippocampal function and conscious awareness—specifically, explicit memory. This position is based on indisputable evidence showing that amnesic individuals with hippocampal lesions have impaired conscious appreciation for prior learning episodes (Squire 1992; Cohen and Eichenbaum 1993; Moses and Ryan 2006; Henke 2010; Moscovitch 1992). These effects were first observed anecdotally in informal interaction with H.M. who was described by Scoville and Milner (1957) as being unable to remember where he had been, or what he had done, just hours after events had transpired. The same observations have been made in formal testing conducted with H.M. and other amnesic patients on tasks that require recall or recognition of materials presented during an encoding phase (Squire and Wixted 2011). However, it is important to note that even amnesic individuals who have severe memory

110 impairments and widespread damage that goes beyond the hippocampus and
111 surrounding MTL structures have conscious appreciation for the present moment
112 (e.g., amnesic case K.C.—Rosenbaum et al. 2005; amnesic case E.P.—Insausti
113 et al. 2013). That is, amnesic individuals can understand the current contextual
114 setting, engage in conversation appropriately, follow instructions and perform
115 tasks, etc. Therefore, the hippocampus does not appear to be critical for conscious
116 experience, *per se*. Instead, it is conscious access to information experienced in the
117 past minutes, hours, days, or years (i.e. prior learning episodes) that is severely
118 compromised. Consequently, it is the position of declarative memory theory (and
119 others) that the contents of hippocampus-mediated representations must be within
120 conscious apprehension during encoding and that conscious awareness is part and
121 parcel of the retrieval of such representations (e.g. Squire 2004; Graf and Schacter
122 1985; Moscovitch 1992). However, if conscious awareness were indeed a funda-
123 mental property of hippocampal processing and/or hippocampus-dependent repre-
124 sentations, it would be difficult to imagine how amnesic individuals retain
125 conscious appreciation for what is happening in the present moment (see also the
126 Perception section).

127 In recent years, alternative theories of MTL function have emerged that suggest
128 the primary role of the hippocampus in memory has less to do with conscious
129 awareness than with the nature of the information that is retained in memory. In
130 other words, it is the representational affordances and/or processing capabilities of
131 MTL structures that set them apart from other brain regions. Specifically, relational
132 memory theory posits that the hippocampus is critical for binding relations among
133 distinct objects, and that these relational memory representations can be encoded,
134 retrieved, and subsequently used in service of ongoing cognition. This is the case
135 whether information is available to conscious access or not (Eichenbaum and
136 Cohen 2001; Cohen and Eichenbaum 1993; Ryan et al. 2000). Similarly, the
137 binding of items in context (BIC) model suggests that there may not be a one-to-
138 one mapping of MTL structures and explicit memory; instead, the relationship
139 between regions of the MTL and explicit memory processes may depend on task
140 demands (Diana et al. 2007). Finally, this position has perhaps been articulated
141 most strongly by Henke (2010), who indicates that “... hippocampal damage will
142 impair the rapid associative encoding of compositional and flexible associations
143 irrespective of consciousness of encoding and retrieval” (p. 530). In general, the
144 prediction from these models is that the hippocampus is critical for fully-intact
145 performance whenever the information processing demands of a task require
146 representation of relational (or item-in-context) bindings whether or not that infor-
147 mation is subject to conscious access. Consistent with this possibility, there are
148 several reports in the literature of unconscious, implicit, memory that is indeed
149 hippocampus-dependent. Many of these findings were reviewed in detail by
150 Hannula and Greene (2012), and therefore, in this section of the chapter, we
151 highlight just a few recent examples. Before turning to these studies, however, it
152 is important to acknowledge that the number of examples is far fewer than reports
153 linking the hippocampus to explicit memory; this is likely due, at least in part, to

challenges associated with conducting studies that deal with conscious awareness— 154
 some of these pitfalls are referenced in the text below. 155

Neuropsychological Investigations of Implicit, Unconscious, Memory 156
 157

Evidence that the hippocampus is critically involved in implicit, unconscious, 158
 memory began with two neuropsychological studies conducted with MTL amnesic 159
 patients. First, Chun and Phelps (1999) demonstrated that control participants were 160
 faster to search for and identify a target among distractors when search arrays were 161
 repeated (versus novel) across blocks, an outcome known as the contextual cuing 162
 effect. Effects of contextual cuing occurred even though participants could not 163
 explicitly identify or recognize the displays that had been repeated. Amnesic 164
 individuals whose damage included the hippocampus showed response time facil- 165
 itation across blocks, demonstrating spared skill learning. However, compared to 166
 controls, these individuals were not differentially faster for repeated displays, 167
 suggesting that they were unable to create, and benefit from, the requisite memories 168
 in which the target could be located in reference to the relative positions of 169
 corresponding distractors. 170

The second study to provide evidence in favor of hippocampus-dependent 171
 memory expression absent awareness was reported by Ryan et al. (2000). Using 172
 eye tracking, these investigators demonstrated that both control participants and 173
 amnesic patients showed a decrease in the number of fixations that were made to 174
 repeated, as compared to novel, scenes. As above, this result suggests that basic 175
 reprocessing, or fluency, effects are intact in amnesia. However, only the control 176
 participants showed eye movements that were differentially attracted to changed 177
 regions within scenes. No evidence for this preferential viewing effect was evident 178
 in patient data, suggesting that the MTL, and the hippocampus specifically (see 179
 Ryan and Cohen 2004), was critical for binding the spatial relations among items 180
 that were embedded in previously studied pictures. Importantly, these eye- 181
 movement-based relational memory effects were absent from the viewing patterns 182
 of amnesic patients even though the same effects were observed in control data 183
 when concomitant awareness for what had been altered in the scenes was absent. In 184
 other words, eye movements were sensitive to relational memory even in the 185
 absence awareness, but not when individuals with hippocampal damage were 186
 tested. Whether or not viewing patterns index memory without awareness has 187
 been subject to some debate in the literature (Smith et al. 2006). However, the 188
 same investigators who have reported null outcomes in past work recently found 189
 that these effects are sensitive to instructional manipulations (Smith and Squire 190
 2015). This is discussed in more detail below, but is mentioned here because it 191
 seems that discrepancies in the literature may come down to experiment-specific 192
 implementation details. 193

194 In the time since publication of these initial reports, Henke and colleagues have
195 made great strides in this domain, reporting in several studies that the hippocampus
196 contributes to unconscious encoding. In one of these studies (Duss et al. 2014),
197 amnesic patients and matched controls were presented with pairs of unrelated
198 words (e.g., rain-screw, coffee-tango) embedded in a visual masking sequence.
199 Subsequently, pairs of words were presented supraliminally, and participants were
200 asked to indicate whether the words in each pair were a good fit. Notably, all of
201 these visible word pairs were novel (i.e. had not been presented subliminally), and
202 were either semantically related to a previously encoded pair (intact pair: snow-
203 nail), or not (broken pair: hail-waltz). Results indicated that intact pairs were
204 endorsed more often by controls as a 'fit' than broken pairs. This outcome was
205 said to reflect the influence of memory for the relations among subliminally
206 presented word pairs on subsequent performance, and was reduced in the amnesic
207 sample. Notably, some of the amnesic patients performed at levels comparable to
208 the control group on the unconscious encoding/retrieval task, but were impaired
209 when memory was tested directly. Neuroimaging data indicated that these individ-
210 uals recruited spared tissue in the hippocampus during task performance. The
211 authors conclude that the hippocampus has a role in both conscious and uncon-
212 scious encoding/retrieval, and that based on functional connectivity results, a larger
213 network of the hippocampal-anterior thalamic axis and neocortical connections
214 may be required to support conscious access. Considered together, the above
215 studies demonstrate that awareness is not an absolute requirement for
216 hippocampus-supported memory.

217 **Early Information Processing Is Modified Following Hippocampal** 218 **Damage**

219 While it is clear that amnesic patients have deficits in *conscious* access to remem-
220 bered content, evidence also suggests that there are important changes in how
221 information is processed by these individuals well before explicit memory deci-
222 sions might be made. One possibility then is that these early processing abnormal-
223 ities occur outside of conscious awareness. For example, in past work we have
224 reported that eye movements index memory for learned scene-face relationships
225 during a test trial within 500–750 ms of display onset, and as much as 1–1.5 s in
226 advance of explicit recognition responses (Hannula et al. 2007); the same effect is
227 completely absent from viewing patterns of amnesic patients with hippocampal
228 damage. Based on this outcome, it was proposed that this eye-movement-based
229 prioritization occurs in advance of, and may contribute to the development of
230 conscious awareness for the associate (see also Hannula and Ranganath 2009).
231 Studies outlined below suggest that in addition to the absence of changes in viewing
232 that precede conscious reports, the manner by which hippocampal amnesic patients
233 engage in basic processing is altered in the earliest moments of stimulus exploration
234 (also see the Perception section).

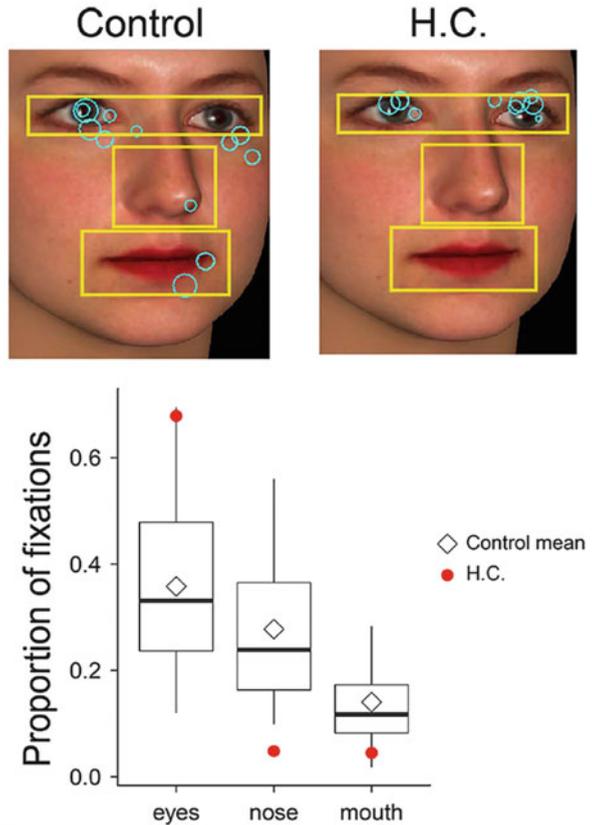
This change in online processing is particularly well illustrated by an experiment 235 that required amnesic patients and control participants to study an array of objects 236 for a subsequent memory test (Voss et al. 2011). Critically, the objects used in this 237 experiment were not revealed simultaneously; rather, the participant's eye move- 238 ments were used to reveal the objects through a moving window. During explora- 239 tion, control participants would occasionally revisit previously inspected objects/ 240 locations, however, this "spontaneous revisitation" effect was nearly absent in the 241 amnesic data. Further, results from control participants indicated that revisitation 242 predicted subsequent memory and was associated with hippocampal activity as 243 revealed with functional magnetic resonance imaging (fMRI). This study illustrates 244 the utility of converging research methods (eye tracking with neuropsychological 245 cases, functional neuroimaging of healthy individuals), and it provides initial 246 evidence for the online influence of hippocampal processing on the manner by 247 which information is extracted from the external world. 248

A recent study from Olsen et al. (2015) with the developmental amnesic patient 249 H.C. echoes the findings described above (Voss et al. 2011) and provides yet 250 another compelling example of changes in online information processing as a 251 consequence of hippocampal damage. H.C. presents with hippocampal volume 252 loss and abnormal development of the extended hippocampal system (Rosenbaum 253 et al. 2014). However, the volume of H.C.'s MTL cortical structures are similar to 254 those of controls. In this study, when faces were presented during an incidental 255 encoding phase, H.C. directed significantly more viewing to the eyes, and less 256 viewing to other face features, compared to the control participants (see Fig. 1). 257 Furthermore, H.C. had a lower transition-to-fixation ratio than controls. Consistent 258 with past reports (e.g., Bird and Burgess 2008; Mayes et al. 2002), H.C. showed 259 relatively intact recognition for faces that were presented from the same viewpoint 260 during study and test, but was impaired when the viewpoint at test was different 261 from corresponding study exposures, or when faces had been presented from 262 different viewpoints across individual study trials. These outcomes suggest that 263 the manner in which the faces are studied and tested (i.e., same versus different 264 viewpoint) can considerably impact recognition performance in amnesic patients, 265 and that deficiencies in how materials are processed (as indexed by eye movement 266 behavior) may contribute to this outcome. Consistent with descriptions in the STM 267 section below, results from this experiment suggest a role for the hippocampus in 268 intra-item feature binding when a high fidelity representation of encoded informa- 269 tion is required for successful task performance. In other words, the focus of the 270 hippocampus can be relatively wide, encompassing several objects embedded in an 271 episodic context, or narrow (i.e., limited to relationships among item features), 272 depending on task demands. Whether non-normative viewing patterns are respon- 273 sible for compromised binding or vice-versa cannot be determined based on the 274 outcomes of this work, but we suspect that the relationship is bi-directional (i.e., 275 ongoing binding deficits change viewing patterns that are, in turn, non-optimal for 276 binding; see Olsen et al. 2012). 277

Importantly, online processing, as indexed by eye movement behavior in studies 278 described briefly above, is likely to be outside the domain of conscious experience. 279

Fig. 1 This figure illustrates differences in the distribution of fixations to a face that was presented during encoding. Data from a representative control participant can be seen on the *left* and data from developmental amnesic patient H.C. can be seen on the *right (top)*. The proportion of fixations directed to the nose and the mouth is reduced in H.C. relative to control participants; in contrast, more fixations were directed by H.C. to the eyes. Figure adapted from Olsen et al. (2015) and reproduced with permission according to the Creative Commons License agreement with the Journal of Neuroscience

Eye Movement Data: Encoding



280 For example, while the externally presented face in the study conducted with
 281 H.C. was certainly subject to conscious apprehension, it is unlikely that participants
 282 in this experiment were completely aware of their particular eye movement pat-
 283 terns, or the specifics of ongoing processing. Consistent with this possibility,
 284 participants perform poorly when they attempt to distinguish their own fixations
 285 patterns from those of other participants (Foulsham and Kingstone 2013). Further-
 286 more, while participants can reasonably introspect about the placement of their own
 287 eye movements during a visual search task (Marti et al. 2015), introspection was not
 288 perfect. Indeed, reported gaze position was frequently inaccurate and false fixations
 289 were reported as well. More generally, there was an effect on eye movement
 290 placement that was related to the task of introspection itself, suggesting that asking
 291 people to report the position of their eye movements changes at least some aspects
 292 of eye movement behavior. Finally, while this was not tested directly, it may have
 293 been difficult for participants to distinguish instances of covert (attention in the
 294 absence of direct fixation) from overt attention (attention coincident with a direct

fixation); evidence for this kind of misattribution error has been reported previously 295
 by Hollingworth et al. (2008). In sum, evidence suggests that participants have poor 296
 insight into their fixation patterns, and this may reflect a lack of insight into online 297
 processing. Questions about how exactly patterns of “free viewing” (absent search 298
 requirements or any other specific instruction) are related to conscious awareness 299
 (or introspection) have yet to be addressed. 300

One final bit of evidence that suggests eye movements and conscious experience 301
 can be dissociated comes from a study conducted by Spering et al. (2011). Specif- 302
 ically, these authors reported that the trajectory of eye movements can be separated 303
 from the conscious percept of a presented stimulus. Participants in this experiment 304
 were presented with two horizontally (90°) or vertically (0°) oriented sine-wave 305
 gratings that drifted orthogonally to their orientation. One of gratings was adapted 306
 to one eye, and then re-presented to the same eye as the other grating was presented 307
 to the other eye simultaneously. Whereas eye movement trajectories responded to 308
 the integrated motion of the two gratings (the diagonal), the conscious percept of 309
 the participants was typically in the direction of the un-adapted grating, or of two 310
 separate motions (one weak, one strong). Together with findings outlined above, 311
 this work indicates that the link between eye movements and continuous, accurate 312
 conscious apprehension is tenuous at best. Thus, what is observed in H.C., and other 313
 amnesic patients, is a change in behavior that is not likely to be fully within 314
 conscious apprehension. In short, the hippocampus may contribute information 315
 that supports conscious awareness of remembered content, but consciousness may 316
 not be bound up in the representation itself (Hannula and Greene 2012). 317

Neuroimaging Investigations of Implicit, Unconscious, 318
Memory 319

Neuroimaging investigations have provided additional support for hippocampal 320
 contributions to memory in the absence of explicit knowledge for prior learning 321
 experiences. For instance, Reber et al. (2016) presented participants who were 322
 undergoing intracranial electroencephalography (iEEG) with sequences of word 323
 pairs, some of which contained a common associate (e.g., “winter-red”, “red-cat”), 324
 and asked participants to judge the goodness of fit of each pair. Although partici- 325
 pants were not aware of the indirect relationships that linked distinct pairs (e.g., the 326
 word “red” in our example above), an ERP difference recorded from the hippo- 327
 campus was observed 400 ms following the onset of the second word pair during 328
 encoding (e.g., “red-cat”) when the match was present. Subsequently, a test pair 329
 was presented that combined the words that were related indirectly by virtue of their 330
 shared associate (e.g., “winter-cat”), however there were no ERP differences that 331
 distinguished these pairs from others. Based on these outcomes, the authors pro- 332
 posed that relational learning occurred during encoding, even in the absence of 333
 awareness. 334

335 Work from Ryals et al. (2015) has indicated that hippocampal engagement
336 during retrieval is sensitive to memory absent awareness as well. Their findings
337 are similar to other recent reports, which show that hippocampus-dependent eye
338 movement effects can be dissociated from explicit behavioral responses (e.g.,
339 Hannula and Ranganath 2009; Ryan et al. 2000; Nickel et al. 2015). Using eye
340 movement monitoring and fMRI, Ryals et al. (2015) presented participants with
341 scenes that were either new, or configurally similar to scenes that had been
342 previously studied. Participants were asked to identify scenes that they felt were a
343 configural match to (i.e. had the same global layout as) previously encoded exam-
344 plars. Results indicated that there was significant overlap in eye-movement-based
345 exploration of configurally similar and previously studied scenes, and that this
346 viewing effect was related to hippocampal activity. Furthermore, and especially
347 important in the context of this section, eye-movement-based exploration effects
348 were correlated with activity differences in the hippocampus even though perfor-
349 mance (i.e., explicit identification of configurally similar scenes) was at chance.

350 Finally, a recent study that combined event-related potentials (ERPs) with
351 patient testing indicated that a neural signature of recognition memory, evident in
352 control data irrespective of awareness, was absent from patient data (Addante
353 2015). In this experiment, participants were presented with several words, and for
354 each exemplar, made either an animacy or manmade judgment. In an unexpected,
355 subsequent memory test, participants indicated whether individual words were old
356 or new, and specified what kind of source judgment had been made earlier. Results
357 indicated that both explicit item recognition and source memory decisions were
358 impaired in amnesic patients. Additionally, amnesic individuals failed to show a
359 neural signature in posterior regions that, in control participants, distinguished
360 between previously studied and novel words, and was independent from explicit
361 recognition reports. Once again, and much like studies described above, this
362 outcome suggests that consciousness may be orthogonal to hippocampal function.

363 **Early Information Processing Engages the Hippocampus**

364 As indicated above, effects of memory on eye movement behavior are evident
365 shortly after stimulus onset and precede explicit recognition responses (Hannula
366 et al. 2007; see also Ryan et al. 2007); the same effects are absent from the viewing
367 patterns of amnesic patients. One possibility suggested by this observation is that
368 *early* recruitment of the hippocampus (not measured in the cited studies) indexes
369 pattern completion processes and corresponding retrieval of memory representa-
370 tions that are then used in service of conscious awareness. That is, hippocampal
371 representations may not be the seat of consciousness itself (Voss et al. 2012), but
372 rather, may support the subsequent experience of conscious awareness (Hannula
373 and Ranganath 2009; Ranganath 2010). This possibility is consistent with a
374 two-stage model of conscious recollection (Moscovitch 2008; Sheldon and
375 Moscovitch 2010), which states that the hippocampus supports automatic and
376 obligatory retrieval of encoded content during stage one, and contributes to

conscious appreciation of retrieved content (perhaps via interactions with the PFC), 377
subsequently, in stage two. 378

Consistent with the two-stage model, it has been reported that activity differ- 379
ences in the hippocampus during presentation of a scene cue predicted eye- 380
movement-based prioritization of a learned associate when a test display was 381
presented (Hannula and Ranganath 2009). Because these activity differences 382
were evident even when explicit recognition responses were incorrect, it was 383
proposed that this outcome corresponds to stage one of the two-stage model 384
(i.e. automatic, or obligatory retrieval of encoded content). Also consistent with 385
the model, functional coupling of the hippocampus with PFC, identified in a 386
connectivity analysis of data collected during test display presentation, was asso- 387
ciated with successful explicit recognition memory performance. While these 388
results suggest that the hippocampus contributes to obligatory retrieval of relational 389
memory representations as indexed by early viewing patterns, nothing can be said 390
about the time-course of hippocampal recruitment because fMRI methods were 391
used. Only by using other neuroimaging approaches with much finer temporal 392
resolution (e.g., iEEG, magnetoencephalography) can questions like these be 393
addressed. 394

Consistent with the proposal that hippocampal engagement can occur early in 395
processing, Riggs et al. (2009) reported that hippocampal theta responses, indexed 396
with magnetoencephalography (MEG), distinguished old from new scenes during 397
performance of a recognition task within just 250 ms of stimulus onset. This 398
outcome suggests that the hippocampus may be obligatorily engaged during per- 399
ceptual processing, well before explicit recognition would occur, when a task 400
requires the comparison of external stimuli to internal representations of encoded 401
content. Work from Olsen et al. (2013) complements this report by demonstrating 402
that hippocampal theta responses index binding requirements when information 403
must be integrated across time. In this experiment, objects were presented sequen- 404
tially and participants were required to encode their relative visuospatial positions. 405
Importantly, because items appeared one at a time, the amount of stimulus infor- 406
mation visible from moment to moment remained the same over the course of the 407
trial. Presumably, given that the participants were aware of the task demands (i.e. to 408
integrate the objects), which remained constant as well, conscious experience was 409
not appreciably different across time. Binding demands, however, did increase, as 410
more elements had to be integrated into the existing memory representation as the 411
trial progressed. Results indicated that hippocampal theta responses tracked binding 412
demands, increasing with the introduction of each new item. As such, ongoing 413
modulation of hippocampal responses seems to be especially sensitive to binding 414
operations, rather than conscious experience per se. Of course, any strong claim in 415
this regard would require evaluation of hippocampal theta oscillations absent 416
awareness, perhaps by rendering materials invisible at encoding via masking, or 417
by binning trials based on recognition accuracy. 418

Several additional studies provide converging evidence in favor of early hippo- 419
campal engagement. For example, hippocampal replay (i.e. reinstatement of neural 420
activity patterns evident at encoding) has been reported within 500 ms of memory 421

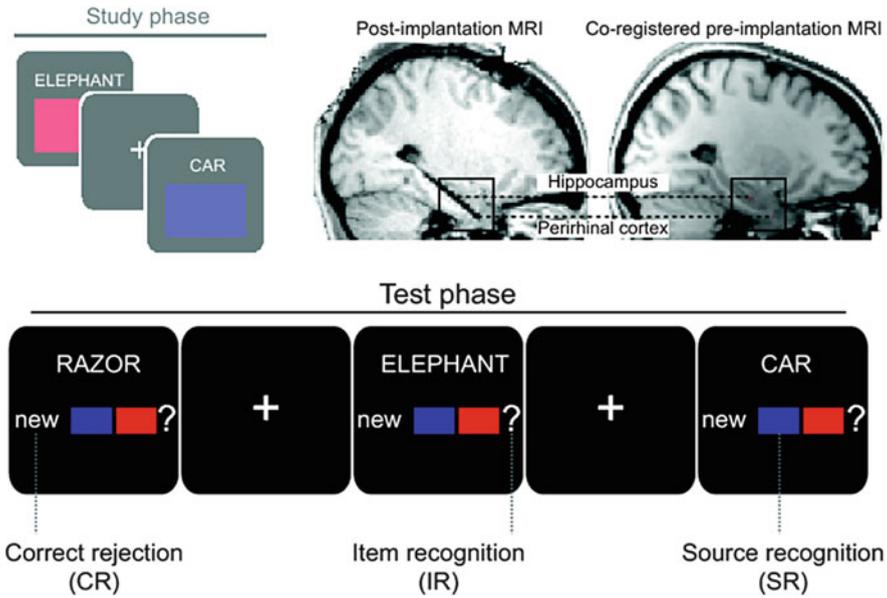
422 cue onset (Jafarpour et al. 2014). Additionally, Horner et al. (2012) recorded neural
423 responses with MEG in a group of younger (predominantly developmental amne-
424 sic) patients of varying etiologies with a range of hippocampal volumes, as well as
425 control participants. All of the participants were required to study words (items)
426 superimposed on scenes (context). Patients' item memory did not differ from
427 controls, but context memory (selection of a scene from a three alternative forced
428 choice) was impaired and the magnitude of this impairment was correlated with
429 hippocampal volume (Horner et al. 2012). Control participants showed a
430 frontotemporal MEG effect between 350–400 ms following stimulus onset that
431 reflected item memory and an effect 500–600 ms that distinguished context hits
432 from misses; such effects were absent in the patient data suggesting they were
433 hippocampus-dependent. These findings point to a role for the hippocampus in both
434 item and context memory, but importantly for discussion here they showcase the
435 early engagement of the hippocampus or brain regions that are connected to—i.e.,
436 depend upon information processing supported by—the hippocampus.

437 Perhaps most notable, was a report that provided specific information about the
438 timing of hippocampal responses relative to explicit recognition decisions in a
439 recent iEEG investigation (Staresina et al. 2012; See Fig. 2). In this experiment, AU2
440 recordings taken directly from the hippocampus in pre-surgical epilepsy patients
441 indicated that there was a significant effect of successful source memory retrieval
442 within 250–750 ms of stimulus onset during test. This source effect was followed
443 by a sustained response sensitive to new (i.e. not studied) items. The late onset of
444 this item-based response suggested that it might reflect the engagement in post-
445 retrieval processing. Consistent with this possibility, a response-locked analysis of
446 the data indicated that item-specific responses in the hippocampus were only
447 evident after explicit recognition decisions had been made, and may therefore
448 have reflected incidental encoding of new items into memory. Critically, source-
449 specific responses were evident in hippocampal recordings before explicit recog-
450 nition decisions were made. While the authors do not discuss this outcome in terms
451 of conscious access, it aligns well with eye movement studies described above, and
452 with the idea that the role of the hippocampus in conscious experience may be
453 secondary to, and emerge from, its primary role in supporting a particular type of
454 representation—here, bound representations of item and source.

455 Challenges for Evaluating Unconscious Memory

456 Any study that points to a role for the hippocampus in memory function outside of
457 conscious awareness must consider whether there is potential contamination from
458 explicit remembering. That is, a person may not explicitly report remembered
459 content because they have adopted a strict response criterion, or because perceived
460 task demands preclude them from disclosing awareness (for review, see Simons
461 et al. 2007). However, counterarguments must be considered as well when linking
462 hippocampal function to explicit memory. For instance, it is possible that responses
463 that appear to index conscious knowledge of formed/stored information were

A) Task and Implantation



B) iEEG Results - Hippocampus

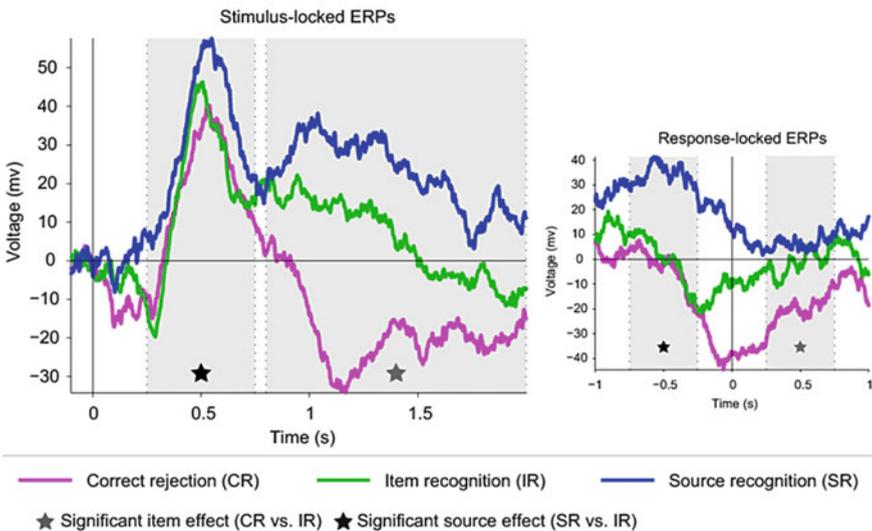


Fig. 2 Hippocampal iEEG recordings during performance of a source memory task. **(a)** Illustration of the experimental task and iEEG electrode placement. During the study phase, participants attempted to encode associations between concrete nouns and corresponding colors, indicating whether the combination was plausible. During the test phase, a concrete noun was presented at the *top* of the screen and participants indicated whether the word was “new”, old and they remembered the source (indicated via color selection), or old but the source was forgotten (“?”). **(b)** iEEG

464 influenced by use of a liberal response criterion, or accurate guessing. Regardless,
465 there are increasing numbers of studies that point to hippocampus-dependent
466 memory effects outside of conscious awareness that have used careful methodo-
467 logical approaches in order to minimize contributions from explicit memory.
468 Examples include subliminal masking procedures that, when effective, render a
469 stimulus invisible as confirmed by strict forced-choice testing procedures (cf. AU3
470 Henke et al. 2003a; Nickel et al. 2015), and task designs that preclude the use of
471 effortful retrieval strategies or strategic processing (Carlesimo et al. 2005). These
472 methods should be considered in future studies that attempt to address questions
473 about when and how the hippocampus contributes to unconscious expressions of
474 memory.

475 *Summary and Conclusion: Awareness*

476 Evidence in support of the view that the hippocampus contributes to implicit,
477 unconscious memory comes from four lines of work—namely, studies that report
478 hippocampus-dependent encoding when materials are masked from view (e.g.,
479 Henke et al. 2003a, b), studies that indicate learning is impaired in the face of
480 hippocampal damage, even when improvements in performance occur without
481 awareness in controls (e.g., Chun and Phelps 1999; Smyth and Shanks 2008),
482 studies that link hippocampal integrity or function to the expression of implicit
483 eye-movement-based memory effects at retrieval (Hannula and Ranganath 2009;
484 Ryan et al. 2000), and studies that document hippocampal responses in advance of
485 explicit recognition decision (e.g., Staresina et al. 2012). Collectively, these out-
486 comes make reasonable the proposition that the role of the hippocampus in memory
487 is outside of, or orthogonal to, conscious awareness. With this in mind, questions
488 about when and how hippocampus-dependent memories are formed and/or
489 expressed outside of awareness can now be addressed. More generally, studies
490 might attempt to pin down how exactly the hippocampus contributes to uncon-
491 scious and conscious expressions of memory (Hannula et al. 2012). AU4

492 Relevant to questions about when and how the hippocampus contributes to
493 unconscious expressions of memory, Verfaellie et al. (2012) suggest that some
494 forms of implicit relational memory are intact following hippocampal damage,
495 while others are compromised. The authors used a category exemplar task in which
496 participants read a word pair (e.g., mall-rain), heard a sentence that used the two
497 words, and rated the plausibility of the sentence. In an indirect testing condition,

Fig. 2 (continued) results locked to stimulus onset (*left*) and to behavioral responses (*right*). iEEG responses were greater for correct source recognition responses than for correct rejections and item recognition shortly after the presentation of the test trial and in advance of button press responses. Figure adapted from Staresina et al. (2012) and reproduced with permission from the Nature Publishing Group and Copyright Clearance Center

participants saw one word from the pair (e.g., the context word—mall) spelled backwards, and were asked to list the first four words that come to mind given a particular category descriptor (e.g., weather pattern). Amnesic patients with hippocampal damage generated the associates of context words at rates similar to controls when the context-category pairs from study were reinstated (vs. recombined) at test. However, when participants were asked to explicitly report the target words, in a direct test of memory, amnesic individuals failed to show this normative reinstatement benefit. The authors suggested that while direct expressions of memory required the hippocampus, indirect, and perhaps implicit, expressions of verbal relational memory were not compromised in hippocampal amnesia. It remains to be determined whether performance could have been supported by strategies that do not depend on the hippocampus (e.g., unitization), but the findings raise important questions about the role of the hippocampus in unconscious versus conscious memory.

Furthermore, and as indicated earlier, recent work suggests that task demands influence whether or not expressions of memory require conscious awareness (e.g., Smith and Squire, submitted). Specifically, it has been reported that whether or not the expression of eye-movement-based repetition effects (i.e., decreases in the number of fixations for previously viewed versus novel stimuli) depends on conscious awareness is influenced by task instructions. When participants were told that their memory would be tested, the repetition effect was only observed with concomitant conscious awareness of having previously viewed the scenes. However, when participants were simply instructed to view the scenes, the repetition effect was observed whether participants recognized the scenes as studied or not. Under free viewing conditions, the repetition (or reprocessing) effect was evident in viewing patterns of amnesic patients, as has been reported previously (Althoff and Cohen 1999; Ryan et al. 2000). These results indicate that changes in task instructions can dictate whether or not the same metric of memory is associated with conscious access.

[AUS](#)

Perception

Like unaware expressions of memory, perception is among the putative new roles that has been ascribed to the hippocampus (Bussey and Saksida 2007; Graham et al. 2010; Suzuki and Baxter 2009). In this section, we present empirical findings relevant to this topic, but first, we anchor our discussion by considering what constitutes perception and how it differs from other cognitive processes. With this information in mind, we briefly revisit a small subset of studies described above (Unconscious Memory section) in service of evaluating whether a hippocampal contribution to perception is feasible based on how quickly information is available for processing. Finally, we summarize the significant empirical literature from neuropsychological and neuroimaging studies that informs whether the human hippocampus contributes to perception, consider whether any such contributions

527

538

539 are necessary, and present interim conclusions on this matter. To foreshadow that
540 commentary, we will suggest that while the hippocampus contributes to ongoing
541 cognition beyond long-term memory, it is not clear whether the term perception
542 best describes those contributions.

543 *Perception: Dissociations and Definitions*

544 In the literature describing hippocampal function, the term perception has often
545 been used without elaboration (e.g., Bussey and Saksida 2007; Graham et al. 2010;
546 Suzuki and Baxter 2009), leaving interpretation to individual readers. Resulting
547 differences in how this term is understood may therefore drive some of the debate
548 over hippocampal involvement in perceptual processes. Differences of interpreta-
549 tion are not difficult to understand because perception interacts extensively with
550 other cognitive processes, and these interactions are necessary for the integration
551 and interpretation of information. For example, perception of external stimuli
552 overlaps significantly with later stages of sensation, and a clear delineation between
553 perceptual and sensory processes may be impossible (Lezak 2012).

554 Similarly, perception also interacts with memory in ways ranging from simple
555 maintenance of current neural activity (STM), to processing of the contents of
556 short-term memory (working memory, WM), and the ability to encode, store, and
557 retrieve preexisting memories (LTM). Consider the example of a typical visual
558 scene such as an office desk decorated with multiple complex objects arranged in a
559 three-dimensional spatial configuration. Although a gist-level perceptual represen-
560 tation of this scene might be available with only a very brief exposure (Thorpe et al.
561 1996), elaboration and maintenance of the objects comprising the scene might
562 require serial attention to multiple locations reflected in many fixations of the
563 eyes spread across several seconds (Henderson and Hollingworth 1999). Further-
564 more, perception of the individual objects as such must rely to some extent on
565 previous experience (i.e., memory). As with sensation, strict separation of percep-
566 tion from memory—especially short-term or working memory—may not be
567 possible.

568 In addition to lying at the interface of other cognitive domains, perception is an
569 ongoing process. That is, perception does not deliver a single, final product but
570 instead provides a succession of interpretations that evolves over time in response
571 to input from external sources and feedback from internal sources. An ambiguous
572 part of a jigsaw puzzle may be resolved by finding an edge; motion may cause a
573 roadside shrub to be re-evaluated as a half-seen deer; and extended viewing of a
574 Necker cube will flip the observer's perspective. These scenarios illustrate the
575 difficulty of deciding when perceptual processes have finished. Further, they illus-
576 trate the challenge of strictly distinguishing between perception and other cognitive
577 processes, and they raise important questions about the nature of perception. In the
578 example of the desk from earlier, at what moment has the desk scene been
579 perceived? Is conscious awareness of the scene necessary for perception? At what

instant should we expect a necessary contribution of memory processes for interpretation of information from the scene? To what extent does information have to be actively maintained to determine whether two percepts (e.g., of complex scenes) are exact copies or slightly different from one another?

In part, perception overlaps with other cognitive processes because our models of these processes are imperfect descriptions of complex, highly interactive systems that operate in parallel (Lezak 2012). Should concerns about whether perception is fundamentally dissociable from other cognitive processes influence our discussion? Or more simply, do these considerations obviate this section of the current chapter? We believe not. While perception clearly overlaps with other aspects of cognition, it has been established as a partially dissociable process that can be separately tested and uniquely impaired. In the spirit of decades of neuropsychological and cognitive neuroscience research studying brain-behavior relationships, we believe that it is perfectly appropriate to investigate whether the neural correlates of perception include the hippocampus. However, we hope that by noting the substantial interactivity between these different processes we might inform future discussions of whether the hippocampus could reasonably be said to contribute, for example, jointly to memory and perception rather than solely to memory. Isolating densely intertwined cognitive processes is difficult even in controlled laboratory tasks; conclusive dissociation of their neural correlates presents an even greater challenge.

To have any hope of distinguishing perception from other cognitive processes, careful definition of terms is important. For the purposes of this chapter, we will consider perception to be a set of cognitive processes representing the interaction of ongoing elementary sensory experience with top-down influences by other cognitive processes including memory, attention, and executive functions. For example, in the case of an external stimulus, perception is preceded by sensation, which involves the transduction of physical energy into neural signals, and can be succeeded by various other cognitive processes that may lead, for example, to encoding of stimulus information into lasting memory representations. A leading neuropsychological text describes perception as follows:

Perception involves active processing of the continuous torrent of sensations This processing comprises many successive and interactive stages. The simplest physical or sensory characteristics, such as color, shape, or tone, come first . . . and serve as foundations for the more complex 'higher' levels of processing that integrate sensory stimuli with one another and with past experience (Lezak 2012, p. 26).

We will rely on this description and consider perception to be a process that supports interpretation of the most recent several seconds of sensory experience through the lens of existing knowledge and that has hierarchical as well as parallel aspects.

We note one further caveat here, which is that our consideration will focus almost exclusively on alleged hippocampal contributions to *visual* perception because that modality has received the most attention from researchers. Although we will speculate that hippocampal contributions generalize across many modalities, further research will be necessary to address this important issue.

624 *The Timecourse of Hippocampal Involvement in Cognitive*
625 *Processes*

626 Perception is an active, ongoing cognitive process, which may place greater
627 demands on speed than would be expected of cognitive processes historically
628 associated with the hippocampus such as memory. Speed of processing is relevant
629 to the current discussion because if the hippocampus is to contribute meaningfully
630 to perception, it must be capable of receiving, processing, and transmitting infor-
631 mation quickly. In this section, we briefly revisit a subset of the empirical findings
632 that were described above (Unconscious Memory section) in service of evaluating
633 whether the hippocampus might reasonably be expected to contribute to perception.

634 Results from studies that have examined the latency of hippocampal responses
635 suggest that this structure can be engaged quickly, within a time window that begins
636 as early as 250 ms following stimulus onset (e.g., Riggs et al. 2009; Staresina et al.
637 2012). Furthermore, response-locked analyses, based on iEEG recordings, indicate
638 that hippocampal responses, sensitive to source memory, are evident before explicit
639 recognition responses have been made by the participants (Staresina et al. 2012).
640 Research studies have also indicated that individuals with hippocampal amnesia
641 process visual stimulus information, as indexed by eye movement behavior, in
642 qualitatively different ways than neurologically healthy controls (Voss et al. 2011;
643 Olsen et al. 2015). They fail, for example, to distribute viewing among face
644 features, which seems, in turn to affect recognition memory performance when
645 faces are seen from different perspectives at study and test. Outcomes like these,
646 particularly the latency data, indicate that the hippocampus does indeed respond
647 quickly when stimuli are in view, although these activity differences were associ-
648 ated with memory rather than perception.

649 In short, the intervals in question are sufficiently brief that the hippocampus
650 could reasonably be expected to respond to and influence activity in other brain
651 regions within the scope of our working definition of perception (i.e., as a process
652 that interprets the most recent several seconds of sensory experience). By compar-
653 ison, other brain regions that have been less controversially associated with per-
654 ception for complex stimuli such as faces are similarly situated in or near ventral
655 temporal cortex and receive, process, and transmit information with similar laten-
656 cies (Schmolsky et al. 1998). This prompts us to note that many brain regions
657 would of course respond to visual stimuli at least as quickly as the hippocampus and
658 therefore potentially contribute to perception. These non-hippocampal contribu-
659 tions to perception are no doubt critical, but they do not affect our main point, viz.,
660 the latency of hippocampal responses to external stimuli is not so long that the
661 structure would be prevented from contributing to perception simply by virtue of its
662 connectivity. In short, hippocampal processing is rapid enough to actively influence
663 ongoing cognition rather than simply responding and recording.

Empirical Findings

664

In the following sections, we discuss key empirical findings in the domain of perception and the human hippocampus. The dependence of perception on hippocampal function has perhaps most often been evaluated using an oddity task (e.g., Lee et al. 2005b; Behrmann et al. 2016). In this case, participants view an array of stimuli (e.g. colors, simple shapes, faces, scenes) and must select, from the alternatives that are present, the stimulus that is different from the remainders (i.e. the 'odd-one-out'). Other tasks require participants to select the exemplar from two or more alternatives that is most like a simultaneously presented sample stimulus (e.g., Sidman et al. 1968; Lee et al. 2005a; Hartley et al. 2007; Warren et al. 2010), to name/identify objects that are degraded or overlap in space (Warren et al. 2012), or to determine whether or not two pictures, presented simultaneously, are an exact match (e.g., Aly et al. 2013a). In the text that follows, neuropsychological studies that have provided critical insight regarding the necessity of hippocampus for perception are described and neuroimaging studies that have informed debate about how the hippocampus is functionally involved in putatively perceptual tasks are summarized. Notably, while there is also an extensive literature documenting MTL and hippocampal contributions to perception from animal models including rodents and non-human primates, a description of that work is beyond the scope of the current chapter (Graham and Gaffan 2005). Instead, we focus on the rich scholarship describing relevant work in human participants.

AU8

Neuropsychological Studies of Perception

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AU9

was outlined briefly at the outset of this chapter, the hippocampus and surrounding MTL structures have been associated with LTM since the seminal report of Scoville and Milner (1957). Generally, damage to the medial temporal lobes or hippocampus has been reported to leave perception and STM intact (Cave and Squire 1992; Drachman and Arbit 1966; Warrington and Baddeley 1974; Wickelgren 1968). However, as described briefly below, the large literature based on work conducted with amnesic individuals has long included hints that the MTL and/or hippocampus might contribute to cognitive processes beyond LTM. The decades-long absence of research on this topic may seem odd in hindsight, but when interacting with individuals who have amnesia the severe memory deficit is obvious while any perceptual deficits are relatively subtle. Nevertheless, careful contemporary experimentation has revealed reliable performance deficits attributed to impaired perceptual processing among patients with broader MTL damage (e.g., Barense et al. 2007, 2012), as well as patients with more focal hippocampal damage (e.g., Lee et al. 2005a, b).

Without a doubt, the pattern of impaired declarative and spared non-declarative (or procedural) memory found in patient H.M. transformed theories of memory

703 (Scoville 1968; Scoville and Milner 1957; Cohen and Squire 1980), but deficits
704 were also evident in his ability to maintain or perceive visual information. Although
705 H.M.'s STM for many types of familiar, verbalizable stimuli was relatively normal,
706 studies of the durability and quality of his non-verbal visual representations indi-
707 cated impairment. In particular, Sidman et al. (1968) tested H.M.'s ability to
708 perceive and maintain simple visual stimuli—ellipses of varying eccentricity—
709 over intervals ranging from 0 to 32 s. With no delay, H.M. was as accurate as
710 healthy control participants when choosing from a selection of related alternatives,
711 but the accuracy of his responses decreased as a function of the maintenance
712 interval until they were essentially at chance after 32 s; in contrast, the performance
713 of control participants remained nearly unchanged even at the longest delay. This
714 unexpected finding went largely unremarked when it was published, but suggested
715 that either perception or maintenance processes were altered by H.M.'s MTL
716 damage.

717 This early example of impairment in the representation of visual information at
718 short intervals is important supporting evidence for more recent observations
719 described below and we offer the speculative suggestion that results from additional
720 non-published studies may have also pointed to a role for the hippocampus in short-
721 lived representations but suffered from the “file drawer problem” (Rosenthal 1979).
722 One piece of evidence potentially supporting this notion can be found in the
723 doctoral dissertation work of Prisko (1963) which included findings similar to
724 those reported by Sidman et al. (1968) but was never published in a peer-reviewed
725 format. Formal analysis of this file-drawer suggestion is beyond the scope of this
726 review, but the prospect is intriguing and may be worth further investigation.

727 Returning to results reported by Sidman et al. (1968), the impaired ability of
728 patient H.M. to maintain hard-to-verbalize visual information for short periods of
729 time was potentially attributable to deficits in at least two distinct abilities: visual
730 perception or visual STM. From our perspective, evidence which supports the
731 proposition that the hippocampus is involved in perception should rely on tasks
732 that meet two key criteria: (1) very limited maintenance demands; and (2) relatively
733 low memory load. Failure to meet either criterion would allow critics to suggest that
734 LTM processes might have been recruited in service of task performance (Hales
735 et al. 2015; Jeneson and Squire 2012; Jeneson et al. 2012; Squire and Wixted 2011).

736 Initial observations that may meet these criteria were reported by Lee et al.
737 (2005a, b, 2006) who observed impairments of perception in patients with focal
738 hippocampal damage when they were asked to perform visual discrimination tasks
739 using complex, three-dimensional scene stimuli. In one such experiment (Lee et al.
740 2005b; see Fig. 3), participants were presented with a sample stimulus (e.g. a face,
741 object, scene, art, or color swatch) at the top of the screen and had to choose the
742 exemplar from two alternatives presented below that most resembled that item. The
743 choice stimuli were blended exemplars of two baseline objects, one of which served
744 as the sample. Use of this blending procedure meant that choice stimuli were more
745 or less similar to each other and to the sample stimulus across trials. Consequently,
746 selection of the closer match could not be achieved based on a single diagnostic
747 feature, particularly when the level of blending was high. Results indicated that

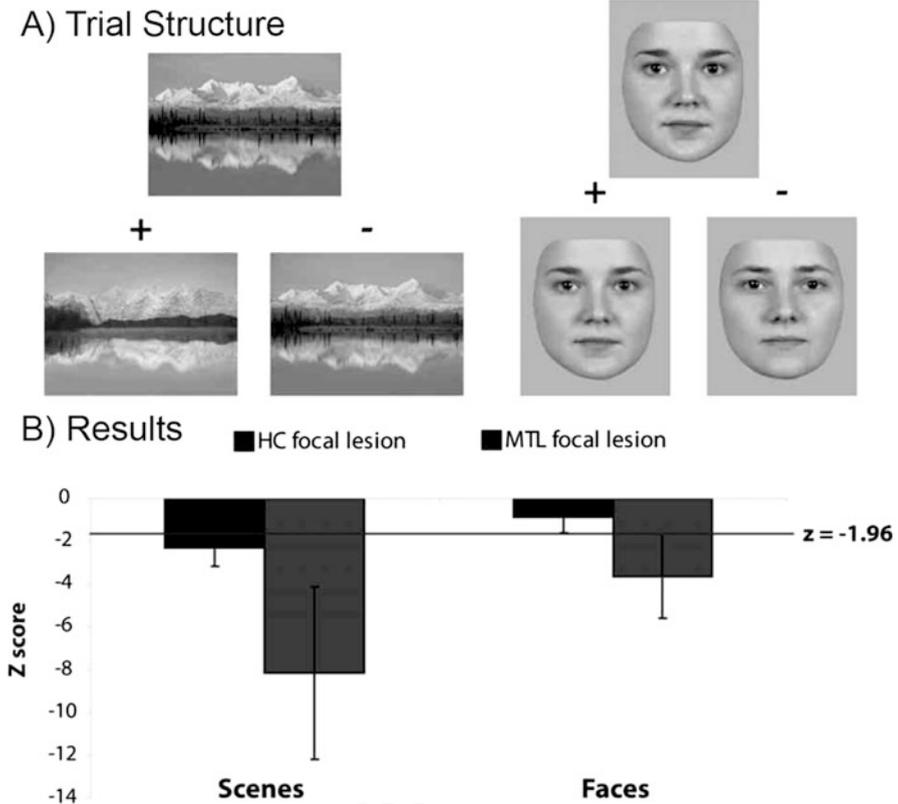


Fig. 3 Perceptual identification task. (a) Participants were to select the image from two alternatives that was the best match of a picture (scene or face, depending on the trial type) presented simultaneously at the *top* of the screen. (b) Patients with focal hippocampal lesions were impaired on the scene matching task, but performed within the normative range for faces. Figure adapted from Lee et al. (2012) and reproduced with permission according to the Creative Commons License agreement with Frontiers

patients with damage limited to the hippocampus (based on visual inspection of 748 scans) had trouble distinguishing between alternatives when scenes were presented, 749 but only when there was considerable feature overlap due to high levels of blending. 750 Discrimination was intact for faces, objects, art, and color swatches. 751

Converging evidence for deficient scene perception among hippocampal amne- 752 sic patients has been reported using the oddity task (Lee et al. 2005a; Behrmann 753 et al. 2016). In these experiments deficits in identification of the ‘odd-exemplar-out’ 754 were only evident when scenes in a choice array were rendered from different, as 755 compared to the same, viewpoints (see also Hartley et al. 2007). Much like the 756 blending procedure, this manipulation places high demands on perceptual discrim- 757 ination processes, as there is considerable ambiguity across array exemplars. This 758 very specific outcome is consistent with a proposed role for the hippocampus in the 759

760 processing and representation of arbitrary relationships among items embedded in
761 scene contexts and taxes representational flexibility, a key property of hippocampal
762 function (cf. Cohen and Eichenbaum 1993). Recent work also points to a potential
763 role for the fornix, the main output pathway for the hippocampus, in perceptual
764 disambiguation (Lech et al. 2016; Postans et al. 2014), but additional testing is
765 required to determine how to best characterize these contributions.

766 While evidence consistent with a role for the hippocampus in perception has
767 been reported with increasing frequency over the last decade, a significant volume
768 of counter-evidence has also been published. Most frequently, this evidence has
769 come in the form of failures to replicate relevant behavioral findings in samples of
770 amnesic patients. In one early example, Stark and Squire (2000) adapted the
771 methods employed in a study of non-human primates (Buckley et al. 2001). The
772 original work suggested that perirhinal cortex played a role in object perception, but
773 Stark and Squire did not observe behavioral impairments consistent with this
774 account in a sample of patients with MTL damage (including hippocampus and
775 perirhinal cortex). A potential explanation for this ambiguity was proposed by Aly
776 et al. (2013a) who have found that perceptual discrimination of complex scenes can
777 be supported by two distinct processes. According to this work, identification of
778 specific details that permit disambiguation of perceptually similar inputs depends
779 upon a *state-based process*, whereas a general sense of relational (mis)match used
780 to the same end depends on a *strength-based process* (see Aly and Yonelinas (2012)
781 for details). Critically, these processes were expected to show dissociable depen-
782 dence on the hippocampus. Specifically, it was predicted that estimates of strength-
783 based relational processing would be significantly reduced when hippocampal
784 amnesics were tested, but that state-based processing, which might depend on the
785 size or position of an individual scene feature, would be preserved. Indeed, this was
786 the observed pattern when hippocampal amnesic patients were tested. Furthermore,
787 converging evidence from an fMRI task, conducted with healthy young partici-
788 pants, confirmed that hippocampal activity differences were sensitive to the
789 strength of the relational mismatch between scenes, as indexed by subjective
790 confidence judgments. Based on these outcomes, the authors proposed that inconsis-
791 tencies in the literature might reflect differences in the use of state- as compared
792 to strength-based processing strategies when tasks require discrimination of per-
793 ceptually similar complex pictures.

794 Other evidence fitting the criteria outlined above were reported by Warren et al.
795 (2012) who found that patients with focal hippocampal damage were impaired on
796 tasks requiring visual discrimination or recognition of complex objects based on
797 partial information. These latter findings contrast to some extent with results from
798 other labs which indicate that broader MTL damage (particularly to perirhinal
799 cortex) may be necessary to impair object (as opposed to scene) discrimination
800 performance (Barens et al. 2007, 2010; Lee et al. 2006). Critically though, this
801 discrepancy does not diminish the most important implication of these findings
802 which is that the hippocampus contributes to the representation of information even
803 when stimuli are continuously present.

While the just-described findings seem to meet the minimum criteria that we 804 established for excluding substantial contributions of LTM to performance (i.e., by 805 limiting maintenance demands and memory load), labelling the underlying deficit 806 as one of perception remains controversial (Hales et al. 2015; Shrager et al. 2006; 807 Squire et al. 2006; Suzuki 2009). In our view, the ongoing debate over how to 808 interpret these data reflects the complexity of disentangling cognitive abilities such 809 as visual perception and visual STM. For example, while tasks such as visual 810 discrimination or recognition based on partial information do not explicitly require 811 maintenance (because all of the materials are presented simultaneously), there are 812 still implicit demands on participants to maintain some amount of information 813 while developing their response (cf. Olsen et al. 2012). When discriminating one 814 complex scene from another, participants must examine the first scene (scene 1) and 815 then maintain enough information about that scene to discriminate it from another 816 (scene 2) (Barens et al. 2007, 2010; Lee et al. 2005a, b, 2006; Aly et al. 2013b). 817 [AU11](#) Even if the intervals between examining scenes 1 and 2 are very short (i.e., on the 818 order of hundreds of milliseconds for attentional shifts and saccadic eye move- 819 ments) there is still an implicit maintenance demand for visual or conceptual 820 information sufficient to discriminate the two stimuli. Against this, it has been 821 argued that the eye movements of amnesic patients do not differ from control 822 participants during visual comparison or search tasks (e.g., Erez et al. 2013), but 823 others have shown differences in eye-movement or related behaviors during search, 824 comparison, or study tasks (Warren et al. 2011; Lee et al. 2010; Olsen et al. 2015; 825 [AU12](#) Voss et al. 2011). 826

Although delays of hundreds of milliseconds may seem trivial, there is evidence 827 that damage to the MTL or hippocampus is sufficient to impair maintenance of very 828 simple visual information (i.e., color or shape) over intervals as short as 1 s (Warren 829 et al. 2014). Furthermore, it has been shown that amnesic patients (those with 830 limited hippocampal damage and more extensive lesions) can successfully perform 831 the oddity task when they are allowed to draw lines linking exact matches, which 832 was “intended to reduce the burden on working memory” (Knutson et al. 2012, 833 [AU13](#) p. 609). In short, use of this memory aid meant that after identifying a match, that 834 set of items could be completely disregarded. Collectively then, these findings 835 suggest that the hippocampus is necessary for maintaining information over brief 836 delays with the implication that even visual discrimination tasks that do not 837 explicitly require LTM may still rely on hippocampus-dependent maintenance 838 processes. This is consistent with the perspective that the hippocampus is necessary 839 for normal visual experience. Whether the underlying deficit is best described as 840 one of perception will be considered in more depth later. 841

Neuroimaging Studies of Perception 842

Studies using functional neuroimaging methods such as fMRI to investigate 843 whether the hippocampus is involved in on-line cognition have found evidence 844

845 which is consistent with that perspective. More specifically, fMRI studies testing
846 perception have shown correlations between performance of perceptual tasks and
847 hippocampal activity. Notably, many of the same caveats and concerns that were
848 raised in the context of neuropsychological findings discussed above will also be
849 relevant here.

850 Use of functional neuroimaging to investigate perception has motivated the
851 adaptation of tasks previously used in neuropsychological studies (Lee et al.
852 2005a, 2006). In particular, scene discrimination tasks that are difficult for patients
853 with hippocampal damage also evoke hippocampal activation in neurological
854 healthy adults (Barens et al. 2010; Lech and Suchan 2014; Lee et al. 2013; Lee
855 and Rudebeck 2010). These neuroimaging findings show a correlation between
856 on-line scene discrimination performance and hippocampal activity which con-
857 verges with neuropsychological findings (Lee et al. 2005a, 2006), and the originat-
858 ing authors suggest that the underlying deficit is perceptual. Additionally, one of the
859 neuroimaging publications had the promising goal of—as suggested by the title—
860 “Investigating the interaction between spatial perception and working memory in
861 the human medial temporal lobe” (Lee and Rudebeck 2010) which is highly
862 relevant to this chapter. The authors used 2×2 design to cross working memory
863 load (1- or 2-back task) with item complexity (simple shapes vs. complex scenes) in
864 a within-subjects design that required participants to perform these task conditions
865 while fMRI data were collected. Analysis of this data revealed an interaction
866 between working memory load and stimulus type in the right posterior hippocam-
867 pus and parahippocampal cortex such that activation increased with working
868 memory load in the complex-item condition but not the simple item condition. As
869 such, this report is most consistent with a role for the hippocampus in perception
870 and working memory rather than one or the other exclusively.

871 Notably, several of these studies have included measures intended to control for
872 potentially confounding influences of incidental LTM or STM processes (Lee et al.
873 2013; Lee and Rudebeck 2010; Zeidman et al. 2015). In one typical example, Lee
874 et al. (2013) asked participants to perform an oddity-detection task while fMRI data
875 were collected, and later administered a surprise recognition task testing memory
876 for the oddity task materials. They reported increased hippocampal activity asso-
877 ciated with correct oddity responses irrespective of later recognition performance
878 for the same items. These and similar findings are suggestive of a unique hippo-
879 campal contribution to scene discrimination or perception over and above activa-
880 tion related to LTM processes. Finally, one finding is intriguingly consistent with a
881 perceptual role for the hippocampus but would extend that role beyond scenes to
882 include faces and other complex but non-scenic stimuli (Barens et al. 2011) which
883 would be consistent with other neuropsychological findings (Warren et al. 2012).
884 Briefly, Barens et al. (2011) collected fMRI data from healthy participants while
885 they performed a perceptual discrimination task that crossed two types of visual
886 stimuli (faces and objects) with two levels of familiarity (familiar and unfamiliar).
887 Object and face stimuli increased activity in the hippocampus and perirhinal cortex
888 relative to a baseline condition, and a main effect for familiarity was evident in the
889 same regions. These activity differences were orthogonal to subsequent memory,

suggesting that hippocampus (and perirhinal cortex) may contribute to object perception. 890 891

Recent developments in fMRI data analysis, which test the predictive accuracy derived from patterns of brain activity have also produced results that bear on a perceptual role for the hippocampus. Specifically, Lee et al. (2013) followed up their univariate analysis—described earlier—by applying multi-voxel pattern analysis (MVPA) to the same fMRI data collected from participants who were performing an oddity judgment task. The authors found that functional data from the regions of interest including the hippocampus or the parahippocampal cortex were sufficient to predict accurate performance of individual oddity judgment trials significantly better than chance (~57 % correct predictions) irrespective of later recognition memory performance for the test materials. A second MVPA analysis showed that the same functional data was also sufficient to predict subsequent recognition performance significantly better than chance (~53 %) irrespective of oddity judgment performance. Following on their findings from a univariate analysis in which hippocampal activation was more strongly related to oddity judgment than subsequent recognition, the authors produced new results consistent with their account that the hippocampus contributes to perception in addition to memory. 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907

To summarize the neuroimaging findings, there is fMRI evidence that is consistent with the perspective that the hippocampus contributes to visual perception (Barens et al. 2010, 2011; Lech and Suchan 2014; Lee et al. 2013; Lee and Rudebeck 2010; Zeidman et al. 2015). However, as described in the section describing neuropsychological studies, the tasks used in neuroimaging studies cannot definitively be said to be process-pure; that is, these tasks cannot exclude the possibility that the observed associations between hippocampal activation and visual discrimination performance are due to other processes (e.g., maintenance). This concern is tempered to some extent by studies that control for subsequent memory effects (Barens et al. 2011; Lee et al. 2013; Zeidman et al. 2015), but that approach cannot entirely mitigate potential memory-related activity because subsequent memory is not perfectly related to hippocampal activity. Again, much like the neuropsychological evidence, neuroimaging data are suggestive of and consistent with a hippocampal role in perception, but not conclusive. 908 909 910 911 912 913 914 915 916 917 918 919 920 921

AU15

Summary and Conclusions: Perception 922

As evidenced by studies discussed earlier in this section, the hippocampus appears to make necessary contributions to ongoing cognitive processes that may include perception. Although these findings have sometimes been critiqued on the grounds that hippocampal involvement may be related to coincident LTM processes (Hales et al. 2015; Jeneson et al. 2010, 2012; Jeneson and Squire 2012; Squire and Wixted 2011) several studies reviewed earlier addressed this issue in design and/or analysis have still found significant evidence of hippocampal contributions to cognitive 923 924 925 926 927 928 929

930 processes over short intervals (Barens et al. 2012; Lee et al. 2013; Warren et al.
931 2012, 2014; Zeidman et al. 2015).

932 If—for the sake of discussion—we accept that the hippocampus makes neces-
933 sary contributions to ongoing cognitive processes, a key question remains: does the
934 hippocampus contribute to perception *per se*? To respond, we return to our working
935 definition, which described perception as a “process that supports interpretation of
936 the most recent several seconds of sensory experience through the lens of existing
937 knowledge and that has hierarchical as well as parallel aspects”. Considering these
938 characteristics in turn, the findings reviewed here fall within the interval described
939 in the definition, and existing knowledge appears to be exercised in support of task
940 performance when available (e.g., real-world knowledge of spatial layouts). The
941 critical remaining characteristic is “interpretation” and its meaning in this context.
942 That is, “interpretation” could mean full appreciation of a stimulus in all its
943 complexity simultaneously; “interpretation” could also mean understanding the
944 broad nature of a stimulus without understanding it completely. For example,
945 when the stimulus is a complex scene, the scene could be said to be perceived
946 (i.e., interpreted) at any of the following stages: when its presence influences
947 responses to other materials; when it is known to be a scene; when the type of
948 scene is known; when objects in the scene have been identified; when the scene is
949 recognized as previously viewed; etc. This nuance is important because—from our
950 perspective—findings that could arbitrate questions of hippocampal necessity for
951 perception hinge on exactly this issue. Therefore, we suggest that consensus on the
952 theoretical issues at stake in this debate depend first on achieving consensus on
953 what is meant by the “interpretation” of a stimulus during perception.

954 Other definitional issues related to a hippocampal role in perception also require
955 further consideration. First, our understanding of perception as a separable cogni-
956 tive construct may be an imperfect reflection of the underlying cognitive processes
957 or neural representations. Second, it is not clear whether perception necessarily
958 requires conscious awareness. Third, defining the timeline for an ongoing process
959 such as perception is challenging; does failure to interpret a stimulus before a
960 deadline constitute a perceptual failure? Fourth, defining the success or failure of
961 perception is challenging because perception always yields a product whether
962 accurate, normative, or otherwise. Finally, while the end result may be an adequate
963 interpretation of the current environment and be sufficient for accurate performance
964 of a perceptual test, the manner in which this outcome is achieved may be quite
965 different across individuals or after brain injury. While these concerns are also
966 important components of an expanded understanding of perception, we believe that
967 a clear operational definition of perceptual “interpretation” remains most critical for
968 understanding the role of the hippocampus in the prevailing ontology of cognition
969 and for drawing strong conclusions about hippocampal contributions to perception.

970 Despite our inability to draw strong conclusions about whether hippocampus is
971 necessary for normal perception based on empirical data, we suggest that an interim
972 conclusion can be derived by drawing on the literature of neurology and neuropsy-
973 chology for descriptions of alternative perceptual deficits. For example, remaining
974 in the realm of visual perception, we can consider the examples of object agnosia,

alexia, and prosopagnosia. In each of these three examples, relatively focal brain injury or dysfunction can produce a severe, selective cognitive deficit in the perception of objects, orthography, or faces, respectively. The severity of these deficits stands in stark contrast to the deficits in, for example, scene perception reported in patients with bilateral hippocampal damage or fornix disconnection (Lech et al. 2016; Lee et al. 2005a, 2006). In everyday life, patients with bilateral hippocampal damage are typically able to navigate through space relatively well, localize objects without noticeable difficulty, copy complicated shapes accurately, and describe complex scenes comprehensibly. In fact, these patients often perform less well than expected only when tested with challenging spatial tasks such as discrimination of very similar scenes (Lech et al. 2016; Lee et al. 2005a, 2006). Meanwhile, patients with object agnosia have famously mistaken their spouses for headgear (Sacks 1998), patients with pure alexia have gross deficits in the ability to perceive written language (Damasio and Damasio 1983), and patients with severe prosopagnosia are often unable to recognize individual faces with any success (Moscovitch et al. 1997; Newcombe et al. 1994). Returning to our earlier discussion, these perceptual deficits illustrate obvious failures of the ability to interpret sensory input normally.

The severity of these alternative examples of widely recognized visual perceptual deficits provide context for putative perceptual deficits in patients with hippocampal damage. While the latter findings are statistically significant, reported impairments in perception among patients with hippocampal damage present with much less urgency than the memory deficits of those patients, and with much less salience than the perceptual deficits experienced by patients with non-hippocampal brain injuries. Notably, impairments in scene discrimination performance are hardly unique in this regard—many non-LTM deficits reported in patients with hippocampal damage are statistically significant but modest relative to the patients' LTM deficits. Therefore, as an interim conclusion on this matter, we suggest that theories of hippocampal involvement in perception describe phenomena that are real and important, but that it is not clear whether perception is an appropriate descriptor. With that in mind, we consider, in a final section, whether the hippocampus might be reasonably said to contribute to short-term or working memory.

Short-Term or Working Memory

Short-term memory is a repository for information that is being kept active or in mind and, as is the case with perception, recent findings challenge claims that STM is completely independent of hippocampal function. The term STM is often used interchangeably with working memory in the literature, but the two are not synonymous. This is because working memory involves not only active retention, but also manipulation of content that is currently being represented. Here, we frequently refer to STM, as many investigations that have addressed questions about hippocampal contributions to these processes have required active retention, but not

1016 manipulation of stimulus information over the course of a brief delay. Furthermore,
1017 while early work evaluating the dependence of STM on hippocampal function
1018 emphasized active retention of verbal materials—e.g., unrelated word pairs, a string
1019 of digits (see Olsen and Ryan 2012)—contemporary studies have most often used
1020 visual stimuli and efforts have been made to minimize the influence or effectiveness
1021 of verbal rehearsal strategies. It is in this context that neuropsychological deficits in
1022 STM have most often been reported and hippocampal activity differences in
1023 neuroimaging investigations are evident.

1024 A fundamental characteristic of STM is its limited capacity. While chunking can
1025 increase the capacity of STM tremendously (Miller 1956), the standard view has
1026 been that a small, fixed number of simple elements or items can be actively retained
1027 over the short term (Luck and Vogel 1997). This view has considerable appeal and a
1028 good deal of empirical support in the literature, but some researchers have recently
1029 come to endorse a different model of STM capacity that is based on a finite amount
1030 of available *resource* (Alvarez and Cavanagh 2004). In this case, there is not a fixed
1031 item-based STM capacity limit. Instead, capacity is determined by the complexity
1032 of to-be-retained information and the precision with which that information must be
1033 represented in order to meet task demands. In short, this perspective suggests that
1034 there is a tradeoff between the number of items that can be actively retained and the
1035 fidelity with which key features are represented. We revisit this important issue
1036 later in this section.

1037 Much of the time, questions about the defining characteristics of STM are
1038 addressed using a *change detection task* (Luck 2008) though match- or
1039 non-match-to-sample protocols, n-back tasks, and delayed alternation tasks are
1040 also common. In a standard visual STM change detection task, participants attempt
1041 to actively retain information presented during a sample phase (e.g., a scene, a face,
1042 a set of simple objects) over the course of a short delay. At the end of the trial, when
1043 a test display appears, participants indicate yes or no, whether anything in the
1044 display has changed (e.g., the identity of a cued object). In some experiments, the
1045 number of items in the sample display is manipulated across trials or blocks so that
1046 investigators can evaluate changes in accuracy as a function of load and obtain
1047 STM capacity estimates based on participant performance (cf. Cowan 2001).
1048 Recent adaptations of the standard change detection task permit investigators to
1049 address more nuanced questions about the representational precision, or fidelity, of
1050 STM. In this case, participants are required to report specific information using a
1051 continuous scale about a characteristic feature of an item that was presented during
1052 the sample phase (e.g. color, orientation; Wilken and Ma 2004; Zhang and Luck
1053 2008). This approach provides more precise insights into *why* forgotten information
1054 was not successfully retained—i.e., (1) because the representation is simply gone,
1055 or (2) because the representation became degraded and imprecise due to high
1056 memory loads or when longer delays were imposed. As described in more detail
1057 below, a handful of investigators have adapted this new testing procedure to address
1058 questions about the quality of visual STM in amnesic patients with hippocampal
1059 damage.

Finally, before describing relevant empirical findings, it is important to note that models of short-term or WM are currently in a state of flux (cf. Jonides et al. 2008). In contrast to the long-standing view that short- and long-term memory depend upon strictly dissociable systems, recent models propose that short-term retention is best characterized by states of representational accessibility that are mediated by interactions between attention and LTM. As summarized by LaRocque et al. (2014), state-based models conceptualize STM as activated (or currently relevant) representations from the long-term store. A small subset of information is prioritized and immediately accessible (e.g., in the “focus of attention”) and additional information is either held in a “region of direct access”, or remains in a heightened state (“activated LTM”) by virtue of its recent prioritization (cf. Cowan 1993; Oberauer 2002). In short, there is a notable shift underway from systems- to state-based models in the STM literature, which is consistent with a broader movement in the cognitive neuroscience community pointing to association (rather than dissociation) of short- and long-term memory (cf. Ranganath and Blumenfeld 2005; Olsen et al. 2012). It is in this context that it becomes increasingly clear the time is ripe to re-evaluate claims for the complete independence of short-term retention from the hippocampus—we do so below based on recent empirical reports from the neuropsychological and neuroimaging literatures.

Neuropsychological Investigations of STM

As was outlined briefly in the section on perceptual processing, results from some of the earliest neuropsychological studies that evaluated whether, and to what extent, simple visual materials could be actively retained over the course of a short delay are difficult to reconcile with standard views of MTL function. For example, H.M.’s performance on a task that required identification of an ellipse that exactly matched the eccentricity of a sample stimulus was increasingly compromised as the retention interval between sample and test was lengthened. Indeed, performance was impaired even when the imposed delay was no more than 5 s long, suggesting that active maintenance was deficient (e.g., Sidman et al. (1968); see Ranganath and Blumenfeld (2005), Olsen and Ryan (2012) for more information about early work). However, reports of intact amnesic performance on STM tests (e.g. Cave and Squire 1992; Warrington and Baddeley 1974; Wickelgren 1968), combined with scores in the normative range on standardized neuropsychological tests (e.g., digit span; cf. Cave and Squire 1992; Rose et al. 2011) led to general consensus that STM does not depend on the integrity of MTL structures, including the hippocampus. Furthermore, as has been argued by some investigators, use of a short retention interval does not obviate instantiation of LTM processes (for review see Jeneson and Squire 2011). Whether these processes simply occur coincident with active retention or are necessary for fully intact performance on STM tests has been difficult to pin down.

1100 Short-Term Retention of Inter-item and Item-Context Bindings

1101 Doubts about a role for the hippocampus limited to LTM were raised in recent
1102 neuropsychological studies when deficits were documented using tasks that encour-
1103 aged active retention of inter-item and item-context relationships (i.e., spatial
1104 positions of objects embedded in scenes, scene-face pairings, and object-location
1105 associations; Hannula et al. 2006; Olson et al. 2006b). For example, results reported
1106 by Olson et al. (2006b) indicated that amnesic patients, including a subset with
1107 damage limited to the hippocampus, were impaired on tests that required active
1108 retention of just three object-location associations over the course of 1 (experiment
1109 2) or 8 (experiments 1 and 2) second delays. The deficits in these investigations
1110 were quite specific as the same hippocampal amnesic patients who performed
1111 poorly on tests of relational memory *could* successfully distinguish old from new
1112 scenes, old from new objects, and previously filled from empty locations (see also
1113 Cashdollar et al. 2009). A peculiarity, perhaps, of our work (Hannula et al. 2006)
1114 was the use of a lag-based design in which corresponding sample and test stimuli
1115 (i.e. rendered scenes) were not always presented in immediate succession. While
1116 this design choice meant that we could determine whether task performance did in
1117 fact depend critically on the hippocampus (i.e. chance performance at long lags), it
1118 also meant that we could not conclusively rule out potential contributions of LTM
1119 to performance when sample and test displays were presented consecutively. This is
1120 because participants may not have used an active retention strategy and because the
1121 interleaved lag-based trial structure meant that information about several scenes
1122 had to be stored simultaneously for upcoming test trials (see also Jeneson et al.
1123 2011).

AU17

1124 The above concerns were addressed recently in two new experiments that
1125 examined memory for the locations of items embedded in scenes (Yee et al.
1126 2015; see Fig. 4). Several design changes were made, among them use of a standard
1127 delay-based change detection protocol. Replicating previous findings, results indi-
1128 cated that patients were impaired on the basic change detection decision, but
1129 perhaps more compelling was the finding that patients frequently failed to identify
1130 an object that had been displaced (via forced-choice response) despite having
1131 successfully indicated that a change was present. This result suggests that the
1132 memory representation was incomplete or degraded. Especially important for our
1133 purposes, marked deficits were documented despite performance among control
1134 participants that was near ceiling (experiment 2), and were evident even in a patient
1135 with confirmed volume reductions limited to the hippocampus, sparing adjacent
1136 MTL structures, parietal, frontal, and other temporal lobe regions.

AU18

1137 Additional, complementary evidence for hippocampal contributions to active
1138 retention of relational memory representations has been reported recently in the
1139 literature. For example, impairments have been reported on tests that required
1140 short-term retention of inter-object bindings (van Geldorp et al. 2014), simple
1141 color-location associations (Finke et al. 2008, 2013; Braun et al. 2008, 2011), and
1142 color-shape associations (Parra et al. 2015). In this last example color patches and

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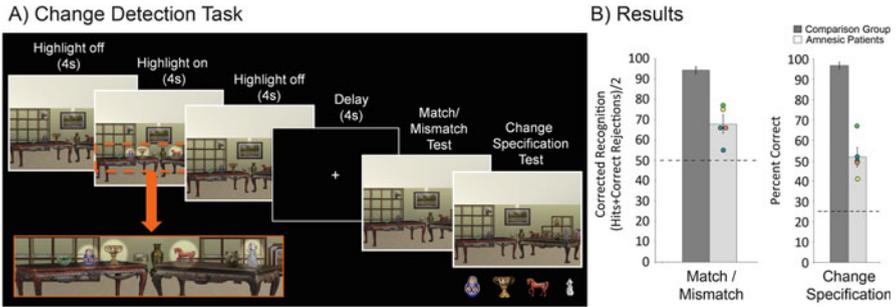


Fig. 4 Illustration of the change detection task and corresponding results from Yee et al. (2015). (a) Participants were presented with a scene during the sample phase of each trial. Four objects were highlighted briefly while the scene was in view and one might be displaced when the test picture was presented. Following a brief delay, participants indicated whether any of the objects had changed locations (match/mismatch test), and then attempted to identify the displaced item from four alternatives. (b) Results from amnesic patients and matched controls for the match/mismatch and change specification tests. Amnesic patients were significantly impaired on both tests, and frequently failed to specify the change correctly, even when a correct mismatch response had been made (shown here). Figure adapted from Yee et al. (2015) and reproduced with permission according to the Creative Commons License agreement with Frontiers

corresponding shapes were presented side by side to discourage unitization, and in 1143
each experiment, patients had lateralized MTL damage (e.g., due to stroke, tumor 1144
resection, or temporal lobe epilepsy). Impaired performance has also been reported 1145
on a test that required active retention of rendered topographical landscapes, but 1146
here participants were patients with damage limited to the hippocampus (Hartley 1147
et al. 2007). In this experiment, scenes in the choice array were shown from 1148
different perspectives than the sample, which meant that successful identification 1149
of the match (from four alternatives) required flexible representation of relative 1150
positions amongst key landscape features. Relevant to the Perception section, 1151
results indicated that two of four patients were impaired even when choice arrays 1152
were presented simultaneously with the sample, but all four patients were impaired 1153
when a delay was imposed. As above, short-term retention of other visual infor- 1154
mation in each of these studies—e.g., non-spatial components of the rendered 1155
landscapes (Hartley et al. 2007), individual colors or locations (e.g., Finke et al. 1156
2008), object-color associations when color was a feature of the object, encourag- 1157
ing unitization (Parra et al. 2015; see also van Geldorp et al. 2014)—was intact. It 1158
seems then that one could conclude the hippocampus contributes to STM when 1159
participants must bind objects with context or with other objects (inter-item bind- 1160
ings; e.g., faces with scenes, objects with color patches), but not when single objects 1161
or fused/unitized associations (intra-item bindings; e.g. a green shoe) are to be 1162
maintained. Indeed, similar dissociations have been reported in the LTM literature 1163
(cf. Davachi 2006; Diana et al. 2007); however, as we shall see, findings summa- 1164
rized below suggest that this conclusion may require some modification. 1165

Identification of impairments like those described above ultimately led investi- 1166
gators to question whether anything more specific could be said about the *kinds* of 1167

1168 errors made by amnesic patients on STM tests. In one experiment (Watson et al.
1169 2014), participants were presented with an array of two, three, four, or five objects.
1170 Subsequent to exposure, the objects were cleared to one side of the table, and after
1171 an eyes-closed delay of approximately 4 s, participants attempted to replace the
1172 objects in their previous locations. Several metrics were used to examine perfor-
1173 mance (e.g. misplacement distance, changes in overall configuration or shape,
1174 presence of swap errors) and amnesic patients were impaired on all of these
1175 measures relative to a healthy control group. Furthermore, with just one exception
1176 (i.e., the global configuration metric), the magnitude of reported impairment was
1177 unaffected by memory load. Critically, careful analysis revealed that patients made
1178 one kind of error far more often than others—namely, a “swap” error. This error
1179 was observed even during trials that required active retention of just two objects,
1180 and the same mistake was rarely made by control participants. Deficits on a similar
1181 task were also reported for some patients at low loads (i.e. 1–4 items) by Jenson
1182 et al. (2010) when participants were required to minimize displacement errors to
1183 reach a criterion level of performance, but this modest low load impairment was
1184 deemphasized relative to a sharp discontinuity in displacement error among patients
1185 when four, five, or six objects had been presented. This sudden high-load perfor-
1186 mance change was not evident in results reported by Watson et al., and what drove
1187 the between-study differences is not clear. Procedural details, including the use of
1188 just four trials per condition and systematic increases in memory load across trials,
1189 may have rendered deficits at low loads less robust in the task reported by Jenson,
1190 but because similar information was not reported by Watson, this is merely spec-
1191 ulation. Nonetheless, results from these studies converge with findings described
1192 above, and implicate the hippocampus in short-term retention of memory represen-
1193 tations; here, especially when mappings of objects to specific, previously filled,
1194 spatial locations had to be retained.

1195 Precision of STM Representations

1196 Efforts to better characterize STM deficits that have been reported in hippocampal
1197 amnesia continue to gain traction in the literature, and a handful of studies have
1198 approached this issue in terms of the representational precision or fidelity of
1199 information retained over the short term. In one early example (Warren et al.
1200 2010; see also Ezzyat and Olson 2008), participants had to determine whether a
1201 target was present among foils created so that their resemblance to the
1202 corresponding sample stimulus varied parametrically. This manipulation meant
1203 that successful performance required retention of precise information about a tested
1204 feature (e.g. shape, luminance, line tilt, spatial frequency). The task was difficult for
1205 both patients and control participants, with performance near chance levels whether
1206 a delay was imposed or not and it was in this context that eye movements, which
1207 were recorded along with button press responses, proved particularly informative.
1208 Eye tracking results showed that when the sample stimulus was present simulta-
1209 neously with the choice array, both groups of participants spent more time fixating

foils that most resembled the sample. However, when a 6-s delay separated sample 1210
from test, the visual-similarity-based preferential viewing effect was attenuated in 1211
patient data; the basic effect persisted, but the correspondence between visual 1212
similarity and fixation time was reduced. This outcome suggests that representa- 1213
tions were degraded, but had not been completely lost (see also Warren et al. 2011), 1214
and a potential mechanistic explanation for this pattern of performance is deficient 1215
hippocampus-supported pattern separation—a process that establishes orthogonal- 1216
ized representations of similar or confusable inputs (e.g., Yassa and Stark 2011). 1217

Eye movement methods are notable because they provide researchers with a 1218
continuous index of cognitive processing while stimulus materials are being viewed 1219
(cf. Hannula et al. 2010). New behavioral testing procedures that use continuous 1220
rather than binary response metrics also permit investigators to address increasingly 1221
specific questions about the fidelity of STM representations, and recent neuropsy- 1222
chological studies have adapted these methods (Pertzov et al. 2013; Warren et al. 1223
2014). In general, participants in these experiments attempt to identify a key feature 1224
(e.g., color, orientation) of one object from the sample array. This target object 1225
appears at test, stripped of critical information, and participants choose from a 1226
continuous range of options (e.g., on a color wheel, by manipulating the orientation 1227
of a colored bar) the feature value that provides the most precise fit (e.g. a specific 1228
shade of blue, a 45° angle). In two experiments, Pertzov et al. (2013) found that 1229
patients with amnesia secondary to a specific subtype of limbic encephalitis were 1230
impaired on STM tests that used continuous reporting metrics, but that their mis- 1231
takes were due to swap errors. For example, when patients attempted to drag a 1232
fractal to its previously occupied location, they were just as likely as controls to get 1233
it near one of the locations occupied during the sample phase, but were more likely 1234
than controls to place it closest to a location previously filled by a different 1235
exemplar. Similarly, when patients attempted to specify the studied orientation of 1236
a colored bar, they oftentimes matched orientation to a different colored line 1237
presented prior to the delay, an effect that was evident even when the imposed 1238
load was just two object-orientation associations. In both of these examples, the 1239
fidelity or precision of memory for sample features (e.g., orientation) was intact, but 1240
the binding of objects to feature values or spatial location was compromised. 1241

Much like Pertzov et al. (2013), Warren et al. (2014) reported that the fidelity of 1242
feature-based memory representations was comparably stable in amnesic patients 1243
and matched control participants across very short intervals (900 ms). In a task of 1244
color-location associations (Zhang and Luck 2008), responses made by selecting a 1245
remembered color from a color wheel were similarly accurate for healthy control 1246
participants and patients with hippocampal damage. In contrast to other studies 1247
though, the amnesic patients' memory representations were more likely to be 1248
completely lost than control participants' when the imposed memory load was 1249
three or six color values (see Fig. 5). Furthermore, follow-up analyses confirmed 1250
that this forgetting was not due to relational memory (or "swap") errors. 1251

These outcomes are difficult to reconcile. While results from some studies 1252
suggest that STM representations in amnesia are degraded or lack fidelity (Warren 1253
et al. 2010, 2011; Yee et al. 2014), others suggest a very systematic pattern of 1254

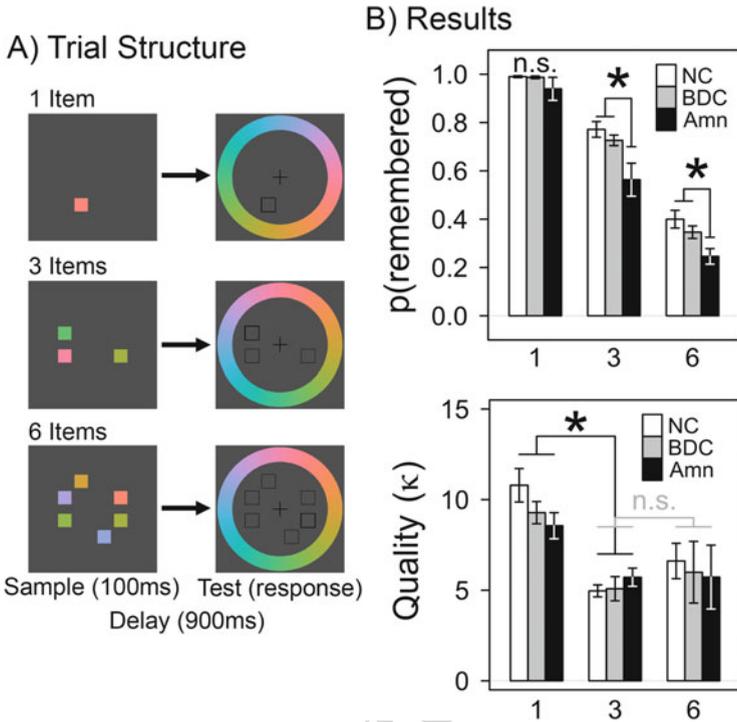


Fig. 5 Illustration of the color-wheel STM task and corresponding results from Warren et al. (2014). (a) Representative examples of 1, 3, and 6 item sample displays. On every trial, a sample stimulus was presented for 100 ms, followed by a brief delay (900 ms), and finally the appearance of the color wheel. One of the *squares* was marked as the target (thicker black outline) and participants attempted to specify the color of that exemplar. (b) Results indicated that the complete loss of information was more common among amnesic patients (amn) than normal controls (nc) and brain damaged controls (bdc) for sample sizes 3 and 6 (top). In contrast, the quality of retained color information was well-matched across groups (bottom). Figure adapted from Warren et al. (2014) and reproduced with permission according to the Creative Commons License agreement with Cold Spring Harbor Laboratory Press

1255 mistakes—namely, swap errors (Pertsov et al. 2013; Watson et al. 2014). Further-
 1256 more, one study provides evidence for abnormally elevated levels of lost represen-
 1257 tations, even at low loads and in the context of a standard STM feature specification
 1258 task (Warren et al. 2014). Notably, many of these studies have been conducted with
 1259 the same group of well-characterized patients (e.g. Warren et al. 2010, 2011, 2014;
 1260 Watson et al. 2014; Yee et al. 2014), which discounts the possibility that discrepant
 1261 results are due to patient-specific qualities like differences in age, lesion location or
 1262 extent, etc. across experiments. This suggests then, that properties of the tasks—
 1263 e.g., the instructions, the duration of trial events, the materials—are driving
 1264 reported differences. Consistent with this idea, event timing was considerably
 1265 shorter in Warren et al. (2014) than other studies. As is standard (Zhang and
 1266 Luck 2008), the sample array in this experiment, which consisted of one, three, or

six colored squares was in view for just 100 ms, and was followed by a 900 ms 1267
delay. By comparison, sample arrays used by Pertzov et al. (2013), Watson et al. 1268
(2014), and others were in view for at least 1 s, and often several seconds more; 1269
furthermore, imposed delays were seconds, rather than milliseconds, long. With 1270
this in mind, one possibility is that amnesic patients require more time to establish 1271
(or vulcanize; Luck 2008) mental representations of the sample stimulus and that, 1272
even with more time, representational precision or relational mappings remain 1273
below normal levels. These possibilities could be tested in future work. 1274

STM for Items

1275

There are some exceptions to what have become fairly standard reports of impaired 1276
amnesic performance on tests that require retention of inter-item and item-context 1277
bindings across short delays. First, and perhaps most notable, there is some com- 1278
pelling evidence for deficits on tasks that seem not to have the same kinds of 1279
binding requirements as studies outlined above. For example, several reports 1280
indicate that active retention of a single face is deficient in amnesia (Ezzyat and 1281
Olson 2008; Nichols et al. 2006; Olson et al. 2006a; Race et al. 2013; Rose et al. 1282
2011). These impairments have been documented at delays of just 1 s, although the 1283
faces in that case were artificial, rendered without hair, and morphed to obtain a 1284
range of foils for test that were more or less similar to the sample (Ezzyat and Olson 1285
2008). To the extent that the hippocampus contributes to pattern separation, these 1286
relatively homogenous faces may have become nearly indistinguishable when 1287
presented in sequence. Nevertheless, amnesic patients could successfully indicate 1288
whether pairs of faces presented simultaneously were a match or not—that is, 1289
impairment was only evident when the delay was imposed. 1290

Results like these seem to be at odds with claims that hippocampal contributions 1291
to STM are limited to situations that require inter-item or item-context binding, but 1292
are compatible with other observations in the literature. For example, as described 1293
above, deficits have been reported on tests that require short-term retention of 1294
complex novel objects (Warren et al. 2011), and are evident even when STM for 1295
simple features is tested provided that items in the choice array resemble the sample 1296
stimulus (Warren et al. 2010, 2014). 1297

Second, two additional recent studies (Olson et al. 2006a; Piekema et al. 2007) 1298
have reported impairments on tests that require active retention of simple features 1299
(e.g., spatial locations, colors) absent high-fidelity testing protocols, but deficits 1300
may have been a consequence of more extensive MTL damage. In fact, it was 1301
proposed recently that even the reported deficits in active face retention are a 1302
consequence of broader MTL lesions. Race et al. (2013) tested two groups of 1303
patients—individuals with limited hippocampal damage and those with more 1304
extensive MTL lesions—and performance was only impaired when lesions went 1305
beyond the hippocampus. As indicated by the investigators, some caution is 1306
warranted in the interpretation of this outcome because patients with extensive 1307
damage also had greater volume reduction in the hippocampus itself; this is 1308

1309 especially notable in light of neuroimaging findings summarized below. Collec-
1310 tively, however, these findings suggest that some reconsideration of our original
1311 conclusion about hippocampal contributions to STM might be needed. While there
1312 is good reason to expect hippocampal involvement when tasks require representa-
1313 tion of inter-item or item-context bindings, there is also a growing body of evidence
1314 that points to hippocampal involvement when choice arrays require more precise
1315 representation of intra-item bindings. In other words, STM tasks that require high
1316 resolution bound representations of object features, object combinations, or objects
1317 and contexts may depend on processing that is supported by the hippocampus
1318 (Yonelinas 2013).

1319 Evidence Against Hippocampus-Supported Short-Term Retention

1320 The literature also contains evidence that runs counter to the observations summa-
1321 rized above (Allen et al. 2014; Baddeley et al. 2010, 2011; Jenson et al. 2010,
1322 2011, 2012; Shrager et al. 2008). It is possible that performance in some of these
1323 studies was intact because tasks required active retention of simple or unitized
1324 items/features and did not use testing protocols that would be expected to require
1325 representation of high-resolution bindings. We consider just one representative
1326 example. Jenson et al. (2012) reported that estimates of STM capacity derived
1327 from performances of hippocampal amnesic patients on a standard STM change
1328 detection task were within normal limits at short delays. Critically though, the test
1329 displays in this experiment, which required short-term retention of a small collec-
1330 tion of colored squares, did not tap memory for color-location bindings. When a
1331 change was present, the target object (specified with a bounding box), was always a
1332 new color that had not been presented in the sample array. Indeed, as reported by
1333 the authors, “the task was to decide whether a new color had been introduced, not
1334 whether a color that was present in the first array was now presented in a new
1335 location” (p. 3585). More generally, the colors themselves were perceptually
1336 distinctive (e.g., red, green, blue, yellow), effectively ruling out any requirement
1337 for high fidelity representation of the critical feature value. Another potential
1338 obstacle concerns the patients themselves. Recent work has indicated that the
1339 neural correlates of STM for object-location associations may be subject to con-
1340 siderable reorganization among patients treated surgically for epilepsy versus the
1341 presence of a tumor (Finke et al. 2013; see also Braun et al. 2008). Epilepsy patients
1342 often perform normally on object-location change detection tasks and show com-
1343 pensatory recruitment of contralesional hippocampus and STM network structures
1344 (e.g. DLPFC) relative to a healthy control group. Tumor patients, who have a much
1345 abbreviated disease history with very little time for neural reorganization are
1346 impaired on the same task, and do not show increased recruitment of these struc-
1347 tures. In this context, it is notable that several of the published studies in which
1348 STM deficits have not been forthcoming were based on work conducted with Jon
1349 (Allen et al. 2014; Baddeley et al. 2010, 2011), a developmental amnesic patient in
1350 whom the possibility of neural reorganization seems not to have been explored.

Final Considerations from the Neuropsychological Literature

1351

STM research has shown that there are documented tradeoffs between representational fidelity and stimulus complexity (Alvarez and Cavanagh 2004). If a stimulus is particularly complex and/or discrimination at test depends on high quality representation or differentiation of feature-specific minutiae, then the number of items stored in STM may go down. Results described above suggest that these reductions may be more pronounced following hippocampal damage. A particularly vexing problem, one that permeates the perception literature as well, concerns the potential impact of LTM on performance. While amnesic patients can effectively leverage preexisting knowledge (e.g. semantic information) to improve their performance on STM tasks (Race et al. 2015), they cannot encode durable LTM representations of new information. As such, it is possible that at least a subset of impairments reported in the literature reflect deficiencies in LTM. In light of these concerns, any resolution of questions about the boundary conditions and characteristics of STM deficits following hippocampal damage will require systematic consideration of these factors. This is particularly challenging because, in our opinion (as outlined below), definitive procedures for disambiguating the contributions of LTM and STM to performance have yet to be described.

The premise behind one such approach is as follows—if healthy control performance is disrupted by the introduction of interference during a STM delay period, active retention must have been required. In this case, the argument is that new information has displaced the active memory representation and because a more durable LTM trace was never established, response accuracy is reduced (Shrager et al. 2008). Consequently, one benchmark for concluding that the hippocampus does indeed contribute critically to short-term retention is impairment in an amnesic sample on the very same test where control performance drops in the face of interference. In principle, this seems like a reasonable suggestion, but in practice, there are problems that impact the viability and interpretation of reported outcomes. For example, as we have described in detail elsewhere (Yee et al. 2015), it is not clear to what extent control performance must drop for investigators to say conclusively that active retention was driving task performance. In the original work outlining this procedure, control performance was significantly reduced on a test of memory for six object-location bindings in the face of interference; amnesic patients were impaired on this test as well. It seems then, that this meets the definition of evidence for hippocampal contributions to STM. Instead, however, it was indicated that the drop in control performance, while significant, was insufficient for making these claims. More generally, as described in detail by Race et al. (2013), the kind of interference matters. In other words, failures to document interference effects in control performance may simply mean that representational requirements and/or processing demands of the interference task were orthogonal to task features or insufficiently taxing to displace represented content. Until these issues are addressed, any claims about disambiguation of short- from long-term memory contributions to task performance based on this method seem premature.

1394 As we will see, questions about STM-LTM interactions have figured prominently in
1395 the neuroimaging literature as well, which is summarized next.

1396 *Neuroimaging Investigations of STM*

1397 Consistent with the neuropsychological literature, a great deal of effort has been
1398 made in the neuroimaging community to determine whether and under what
1399 circumstances the hippocampus (and adjacent MTL structures) might contribute
1400 to STM. This work provides important insights that are not afforded by work with
1401 amnesic individuals, including observations of sustained delay period activity,
1402 information about contributions of specific hippocampal subfields to active reten-
1403 tion, and insights into STM/LTM interactions. Furthermore, recent advances in
1404 neuroimaging analyses permit investigators to decode the representational content
1405 of delay period activity. As is described elsewhere (cf. Norman et al. 2006) and
1406 below, these multivariate statistical approaches are sensitive when univariate out-
1407 comes are inconclusive, and therefore, promise to be informative in future work.

1408 Three fMRI investigations reported some of the earliest evidence for hippocam-
1409 pal activity differences during the performance of STM tasks (Mitchell et al. 2000;
1410 Ranganath and D'Esposito 2001; Stern et al. 2001; see also Curtis et al. 2000). One
1411 of these experiments (Mitchell et al. 2000) was a procedural match to the neuro-
1412 psychological study conducted by Olson et al. (2006b), described above. Partici-
1413 pants, who were older and younger adults, were presented with three object-
1414 location associations and were either instructed to maintain information about the
1415 items, the filled locations, or the pairs in anticipation of a probe display. When this
1416 display was presented, participants indicated yes or no, whether the presented
1417 information had been seen during the sample phase. Most important for our
1418 purposes, activity was significantly greater in anterior hippocampus when young
1419 participants attempted to retain object-location bindings as compared to objects or
1420 locations alone; this activity pattern was absent from the fMRI data of older adults.
1421 This result is consistent with reported impairments of hippocampal amnesic
1422 patients on the same task, and with the claim that the hippocampus contributes to
1423 STM when relational memory representations are required for accurate perfor-
1424 mance. In contrast to subsequent investigations, individual trial components (i.e.,
1425 sample, delay, and test) were not modeled separately here; instead, activity differ-
1426 ences were modeled using timing parameters that collapsed across the sample phase
1427 and the early delay.

1428 In two subsequent studies, the common denominator was hippocampal recruit-
1429 ment associated with active retention of novel, trial-unique materials (i.e., faces or
1430 scenes; see also Schon et al. 2013). In one of these experiments, activity differences
1431 were evaluated for subcomponents of the STM trial, and results indicated that short-
1432 term retention of novel but not familiar faces was correlated with sustained delay
1433 period activity in the hippocampus. A control experiment conducted with the same
1434 materials and timing parameters confirmed that this outcome could not be

reproduced when participants engaged in intentional LTM encoding, and a subsequent report based on reanalysis of this data set indicated that there was significant functional coupling between an FFA seed region and the hippocampus (along with PFC, parietal, and occipital sites) during the delay period (Gazzaley et al. 2004). These observations provide compelling evidence in favor of the view that hippocampal contributions go beyond LTM, here, when active retention of object-locations associations, single faces, or a set of complex scenes was required.

Delay Period Activity in the Hippocampus Predicts Subsequent Memory 1442

In the wake of these early studies, a number of investigators reported that hippocampal engagement during the delay period of a STM test predicted subsequent recognition memory performance (e.g., Axmacher et al. 2008; Nichols et al. 2006; Ranganath et al. 2005; Schon et al. 2004). Indeed, this was an outcome that had been anticipated early on, as Ranganath and D'Esposito (2001) had proposed that hippocampal delay period activity may serve two purposes—namely, active retention *and* incidental encoding. One example of evidence in favor of this dual-purpose role came from an experiment that required active retention of novel complex objects (Ranganath et al. 2005). In this experiment, hippocampal activity was evident early, but not late in the delay, and recruitment predicted performance on a surprise subsequent memory test. Corresponding results from a behavioral study indicated that LTM for actively retained objects was reduced when processing was disrupted via interference early, but not mid- or late-delay. Based on these observations, it was inferred that the hippocampus is a key site of STM-LTM interactions, and that incidental encoding operations supported by the hippocampus build a representation that is then reconstructed and retained late in the delay by specialized neocortical processing sites. Notably, despite robust effects of early distraction on LTM performance, active retention was not compromised. This may seem like evidence against hippocampal contributions to STM, but the authors point out that interference in the behavioral task was unlikely to affect retention of low-level features (e.g., a simple shape in the upper right corner of a complex object), and that this kind of detail could be used to rescue STM performance even when a bound high-resolution representation of the whole object had been lost. In contrast, because subsequent recognition required disambiguation of 120 complex, novel objects that were likely to share these elementary features, the same low-level information could not support accurate performance on the LTM test.

Consistent with the perspective outlined above, recent evidence suggests that delay period activity in the hippocampus may be particularly important for establishing and retaining orthogonalized representations of objects that are characterized by high levels of feature overlap (Newmark et al. 2013). In this high-resolution neuroimaging study, hippocampal subfields DG/CA3 and CA1 were engaged disproportionately when feature overlap was high (versus low) during the sample phase of the STM task. Furthermore, these activity differences were

1477 sustained in CA1 (and adjacent MTL cortical structures) over the course of the
1478 delay.¹ As above, these outcomes align well with recent results from neuropsychological
1479 studies that were described earlier.

1480 The same group (Nauer et al. 2015) has also reproduced the finding that
1481 hippocampal activity is robust early in the delay, but decays with time. Here,
1482 however, use of high-resolution neuroimaging methods meant that the effects
1483 could be localized to specific hippocampal subfields (i.e. DG/CA3 and CA1).
1484 Skeptics might argue that it sounds suspiciously like delay period activity in
1485 these studies is merely a carryover effect associated with processing the sample
1486 stimulus, but Nauer et al. (2015) reported that a model based strictly on timing of
1487 the sample stimulus, excluding the ensuing delay period, was a poor fit to the raw
1488 fMRI data. Consistent with observations in the rodent literature (Knauer et al.
1489 2013), it was suggested that activity differences early in the delay may be an
1490 indirect index of persistent neuronal spiking in hippocampal subfields (and else-
1491 where in the MTL). In sum then, results from both the neuroimaging and neuro-
1492 psychological literatures coalesce by implicating the hippocampus in active
1493 retention of object representations when performance depends on the integrity of
1494 high-fidelity bound representations of intra-item features. Neuroimaging experi-
1495 ments go further though, as they permit investigators to evaluate correlations
1496 between delay period activity and subsequent LTM, and to examine hippocampal
1497 recruitment at the subfield level.

1498 Activity Differences in Hippocampus Are Sensitive to STM Performance

1499 Much of the time, STM task performance has been near ceiling in neuroimaging
1500 investigations, but there are a few reports in the literature that suggest activity
1501 differences and/or activity patterns in the hippocampus predict successful STM
1502 performance. In a difficult matching-to-sample task, for example, Olsen et al.
1503 (2009) had participants attempt to identify the face from two alternatives that had
1504 been presented during the sample phase. To make the task challenging, sample
1505 displays consisted of two faces and both faces had to be retained in anticipation of
1506 the test display. A small set of male faces, cropped to remove the hair, was used
1507 repeatedly across trials, and pre-exposure to the faces meant that, in contrast to
1508 studies above, the materials were not novel. Despite these changes in protocol,
1509 delay period activity differences were evident in anterior hippocampus (and other
1510 MTL structures); these activity differences distinguished high confidence correct
1511 STM responses from low confidence and incorrect responses, and persisted for the
1512 entire duration of the 30 s delay period. Much like results reported by Nauer et al.
1513 (2015), this outcome confirms that delay period activity was not a consequence of

¹For more information about hippocampal anatomy, including the designation of specific subfields, readers should consult chapter “The Nonhuman Primate Hippocampus: Neuroanatomy and Patterns of Cortical Connectivity” of this book.

carry-over effects from the sample stimulus. Furthermore, this work demonstrates that novel, trial-unique materials are not required to drive hippocampal activity up during the delay. Instead, activity differences were said to reflect requirements to bind specific, known face exemplars to the temporal context of a particular trial, insulating the representations from proactive interference.

Another approach that has been used to evaluate STM success effects incorporates a surprise LTM test (Bergmann et al. 2012, 2015, 2016). In these experiments, activity differences associated with successful (versus failed) short-term retention were identified for the subset of trials with incorrect long-term recognition responses. This meant that STM activity differences were unlikely to be a spurious consequence of LTM encoding and storage, although any absence of activity differences might reflect failed delay-period retrieval even if recognition (upon visual presentation of the test materials) was ultimately successful. Results across studies, two that required active retention of four face-house pairs and one that required active retention of the relative positions of objects embedded in scene contexts, varied. For example, it was found in the first study (face-house pairs) that hippocampal activity during the sample phase predicted subsequent long-term recognition, but not success on the test of STM; activity differences associated with delay and test could not be evaluated. The next study, a slightly modified version of the original, was run to permit separate analysis of STM trial components. In contrast to predictions, no suprathreshold activation in the MTL or anywhere else in the brain predicted STM accuracy during the delay period. The most recent experiment (Bergmann et al. 2016) required participants to retain information about the locations of four objects embedded in a rendered scene. Accuracy effects associated with “pure” STM contrasts (i.e. when LTM responses were incorrect) were evident in bilateral hippocampus during the test phase of STM trials (see also Hannula and Ranganath 2008), but there were no suprathreshold activations during the delay period. Furthermore, there were no activity differences anywhere in the brain that predicted LTM outcomes. Results from these experiments are surprising because amnesic patients with hippocampal damage are impaired on tasks like these when short delays are imposed (e.g., Hannula et al. 2006; Hartley et al. 2008; Yee et al. 2015), and because robust delay period activity in the hippocampus has been reported in several other experiments. One potential explanation for null outcomes, based on the report by Olsen et al. (2009) above, is that collapsing across correct STM responses without considering confidence obscured hippocampal activity differences. As a reminder, contrasts performed by Olsen et al. distinguished high confidence correct responses from low confidence and inaccurate responses. It seems worthwhile then for future studies to include subjective confidence ratings or remember/know judgments, and to subdivide STM trials on this basis.

Finally, null delay period effects were also reported by Hannula and Ranganath (2008) on a test of spatial relational working memory. In this study, on every trial, participants were presented with four objects (from a set of nine), each in one of nine possible spatial locations in a 3×3 rendered grid. Over the course of the delay, participants attempted to mentally rotate the encoded sample stimulus so that they

1559 could detect, and discriminate among, changes in object-location bindings when the
1560 test display, which was presented from a different viewpoint, appeared on the
1561 screen. This was a very difficult test, and while univariate contrasts indicated that
1562 activity differences in the hippocampus were greater for correct than for incorrect
1563 WM responses during presentation of the sample and test displays, there was no
1564 evidence for above-threshold accuracy effects anywhere in the brain during the
1565 delay. As above, it is possible that incorporating a measure of memory strength
1566 would change reported outcomes, but here, because participants had to disambig-
1567 uate test displays based not only on the presence (or absence) of a position change,
1568 but also the specific type of change that was in play, correct responses likely
1569 required precise memory representations. While we prefer not to place too much
1570 stock in null findings, alternative explanations for the lack of delay period activity
1571 are worth considering. First, the absence of differential recruitment could reflect
1572 efforts to retain and work with whatever had been encoded, whether those repre-
1573 sentations were complete or not. Second, it is possible that univariate BOLD signal
1574 contrasts were insufficiently sensitive to neural differences that are correlated with
1575 successful retention in this task. Consistent with this second possibility, recent
1576 re-evaluation of this data set using multivariate representational similarity analysis
1577 (RSA) showed that activity patterns during encoding and delay were correlated
1578 when participants successfully identified relational matches or manipulations on the
1579 WM test (Libby et al. 2014). A more compelling approach might have looked at
1580 delay period pattern similarity across trials with shared relational content (i.e. that
1581 required representations of the same bindings), but the experiment had been
1582 designed so that object-to-space and inter-object-to-space bindings were always
1583 trial unique. Therefore, decoding of specific relational representations could not be
1584 performed. Nevertheless, this outcome does suggest that representations of rela-
1585 tional information persisted from encoding into the delay period when participants
1586 made correct responses, and more importantly, speaks to the sensitivity of multi-
1587 variate approaches to fMRI data analysis. We are not aware of any other studies that
1588 have used multivariate (MVPA, RSA) techniques specifically to evaluate when and
1589 how the *hippocampus* supports active short-term retention (but see Lewis-Peacock
1590 et al. (2012) for an example of a promising paradigm that could be adapted for this
1591 purpose)—future studies could be performed with this in mind.

1592 **Effects of Memory Load and Task Demands on Hippocampal** 1593 **Recruitment**

1594 We end with a brief discussion of the potential impact of memory load and tasks
1595 demands on hippocampal recruitment during the performance of STM tasks. These
1596 issues are important to consider, as it is possible that STM capacity is exceeded
1597 when the imposed load is high or tasks become especially difficult. Consequently,
1598 any resulting activity differences in the hippocampus may reflect a shift to depen-
1599 dence on LTM mechanisms. Evidence that suggests this may be the case comes
1600 from a study that has shown a trade-off in functional connectivity with the fusiform

face area (FFA) between the inferior frontal gyrus (IFG) and the hippocampus when 1601
the number of to-be-retained faces is systematically manipulated across trials 1602
(Rissman et al. 2008). Specifically, functional coupling between FFA and hippo- 1603
campus increased linearly with sample size (i.e. 1–4 faces); IFG showed the 1604
opposite pattern. Much like this result, greater hippocampal recruitment for high 1605
(four symbols) versus low (one symbol) load working memory trials was also 1606
reported by Axmacher et al. (2009). However, in this experiment, participants 1607 [AU22](#)
were also required to encode a face that was presented during the delay period. 1608
Subsequent face recognition and hippocampal activity associated with face 1609
encoding were both down when the concurrent WM load was high. In contrast to 1610
the load-dependent proposal, but consistent with conclusions drawn in past work 1611
(e.g. Ranganath et al. 2004; see above), it was suggested that this outcome points to 1612
a dual-purpose role for the hippocampus in active retention *and* LTM encoding. 1613
When the hippocampus is recruited to support *active retention* of four symbols, it is 1614
less available for face *encoding* and subsequent recognition is compromised. The 1615
authors acknowledge that activity differences associated with high load WM trials 1616
may be a consequence of exceeding the capacity limits of STM (i.e. in the high load 1617
condition symbols may have been encoded into LTM and then subsequently 1618
retrieved), but raise several counterpoints against this interpretation of the data. 1619

Finally, as proposed by Zanto et al. (2015), challenging tasks may elicit hippo- 1620
campal recruitment because LTM is required. To test this possibility, they had 1621
participants attempt to retain a single face over the course of a delay, but in three 1622
experimental conditions, this basic task was made more difficult. Across conditions, 1623
a task-irrelevant distractor face was presented during the delay, the delay was 1624
lengthened, or foil faces at test were purposely selected based on their visual 1625
similarity to the sample. In each case, including a baseline condition (face retention 1626
absent the above challenges), participants knew what to expect (i.e. whether the 1627
task would be relatively easy or difficult). Relative to baseline, hippocampal 1628
engagement increased during the performance of “challenge” tasks when the 1629
sample face was in view and during the delay period there was increased functional 1630
coupling of hippocampus (and other structures in the parahippocampal gyrus) with 1631
FFA for the same contrast. Interestingly though, there were also significant activity 1632
differences in the hippocampus during the sample phase greater for baseline trials 1633
than for passive viewing trials. Delay period activity differences and connectivity 1634
patterns seem not to have been evaluated in a baseline/passive viewing contrast. 1635
Another key outcome of the study concerns the pattern of behavioral performance, 1636
which included assessment of face recognition on a surprise test of LTM. Specif- 1637
ically, there was a significant tradeoff between STM and LTM performance across 1638
tasks—short-term change detection was better in the baseline condition and LTM 1639
was better in the challenge conditions. This likely had to do with expectations 1640
participants had about task difficulty (based on instruction) and corresponding 1641
efforts to encode/retain the sample more effectively. Notably though, activity 1642
differences and connectivity patterns with the hippocampus during performance 1643
of “challenge” tasks were not correlated with subsequent LTM performance. While 1644
it was concluded that results provide strong evidence in favor of the standard view 1645

1646 that dissociable brain systems support short- and long-term memory, the presence
1647 of hippocampal activity differences in the baseline condition and the lack of
1648 correspondence between hippocampal recruitment/connectivity and subsequent
1649 recognition performance leave room for alternative interpretation.

1650 In sum, the results summarized above indicate that delay period hippocampal
1651 engagement is only present when load is high or tasks are especially challenging,
1652 but these outcomes do not jibe with reports of hippocampal recruitment during tasks
1653 that require active retention of just one item (e.g. a single face or object—
1654 Ranganath and D'Esposito 2001; Ranganath et al. 2004). More generally, there is
1655 important counterevidence to the LTM-based load argument that bears consider-
1656 ation. For instance, von Allmen et al. (2013) reported that set-size dependent
1657 hippocampal recruitment was evident within the capacity limits of visual STM
1658 when participants were required to retain color-location associations, and that these
1659 activity differences actually collapsed when capacity limits were exceeded. Fur-
1660 thermore, research that is based on recently proposed models of STM that distin-
1661 guish between the “focus of attention”, a “region of direct access”, and the
1662 “activated part of LTM” (more accessible by virtue of its recent use) has consis-
1663 tently reported hippocampal recruitment (Nee and Jonides 2013, 2014; Öztekin
1664 et al. 2009, 2010). For example, Nee and Jonides (2013) have shown that hippo-
1665 campal activity during presentation of a test stimulus is evident when decisions are
1666 being made about information that was held in the “region of direct access”;
1667 notably, and consistent with von Allmen et al. (2013), these activity differences
1668 were evident below the individual capacity limits of tested participants. It is
1669 difficult to reconcile these observations with the view that hippocampal engage-
1670 ment is only evident when LTM has to be engaged to support performance (i.e.,
1671 because capacity limits were exceeded). In short, there is solid evidence in the
1672 literature consistent with a role for the hippocampus in short-term retention (see
1673 also Soto et al. 2012).

1674 *Summary and Conclusions: Short-Term Memory*

1675 There is a long-held tradition in cognitive neuroscience to view memory from a
1676 systems perspective. Especially notable here is what has been considered unam-
1677 biguous dissociation of systems that support short- and long-term memory. The
1678 strongest evidence in favor of this perspective came from work with amnesic
1679 patients who had severely compromised LTM, but remained quite capable of
1680 retaining a limited amount of information in mind over the short term. Anecdotal-
1681 ly, this divide is apparent in interactions with individuals who have hippocampal
1682 damage. While they can engage in basic conversation without difficulty, it soon
1683 becomes clear that their narrative is not anchored in the context of events that
1684 transpired even moments earlier. They can carry on though, as long as the topic of
1685 conversation stays on course. This is why, when short-term retention is tested, it has
1686 been so important to develop tasks that tap hippocampus-dependent

representations. Only under these circumstances has it become clear that performance is not on par with healthy control participants, even when imposed delays are on the order of hundreds of milliseconds (e.g., Warren et al. 2014).

The neuroimaging literature has provided additional insights and converging evidence for a hippocampal stake in STM, and goes further than patient work, as specific questions about the delay period (e.g., whether activity differences persist) and STM-LTM interactions can be examined. While mechanism was not discussed here, human neuroimaging (particularly magnetoencephalography; Cashdollar et al. 2009; Olsen et al. 2013), and intracranial recording (e.g., Leszczynski et al. 2015) studies are a source of compelling evidence for *how* short-term retention may be achieved and/or mediated by the hippocampus. Based on these observations, it seems that some serious reconsideration of the memory systems perspective is required.

That said, there remains much to do in this domain. For example, it is increasingly apparent that brain injury may give rise to significant reorganization of the neural correlates of STM depending on the time-course of the underlying disease process (e.g., Finke et al. 2013). Studies that combine functional neuroimaging and patient work have great potential to provide new insights into how and when brain function is reorganized subsequent to damage, and whether reorganization affects performance on STM tasks. Work is also needed in the neuropsychological literature that makes inroads with contemporary STM models. For example, as proposed by LaRocque et al. (2014) and consistent with fMRI outcomes (Nee and Jonides 2013, 2014), one might expect that amnesic patients would be impaired on simple short-term memory tasks when information is being held in the “region of direct access”, but not the “focus of attention”. Alternatively, impairments might even be evident for information in the focus of attention depending on the representational demands of the task. In turn, fMRI studies that take advantage of multivariate analysis techniques might provide important new insights into what exactly is represented by the hippocampus over the course of a delay period. This kind of work could serve to test claims made here, and elsewhere (Yonelinas 2013), that the hippocampus is likely to support or contribute to STM when tasks require active retention of inter-item and item-context bindings, or when the testing procedure requires representation of high-resolution object details that distinguish the target on the current trial, from one seen several trials earlier, or from similar foils in the test array. In short, it seems reasonable to conclude that the hippocampus contributes to STM. Consistent with conclusions drawn elsewhere (e.g., Ranganath and Blumenfeld 2005), this is important because intact performance on STM tasks following hippocampal damage was considered linchpin evidence for separate short- and long-term memory systems.

1726 *General Conclusions*

1727 In this chapter, we reviewed three current topics related to hippocampal function,
1728 each of which is addressed by a distinct portion of the literature. However,
1729 perception, short-term retention, and conscious awareness are linked by a common,
1730 historical exclusion: according to long-held views of hippocampal function
1731 (cf. Squire and Zola-Morgan 2015), none depends on the hippocampus. Recent work has
1732 prompted our field to reconsider this widely-held perspective by suggesting that
1733 perception, short-term retention, and memory expression absent awareness may in
1734 fact require and recruit the hippocampus. The field's acknowledgement of broader
1735 hippocampal contributions is evident in the proliferation of new theories
1736 (or refocusing of existing theories) to describe a synthesis between recent findings
1737 and the established role of the hippocampus in LTM processes. We close by (re-)
1738 considering a few theoretical accounts related to the topics we reviewed.

1739 Relational memory theory (Eichenbaum and Cohen 2001, 2014) and related
1740 proposals (Davachi and Dobbins 2008; Ranganath 2010) have indicated that the
1741 hippocampus supports the binding together of arbitrarily related stimuli at
1742 encoding, and supports part-cued retrieval of associated content during a
1743 temporally-extended consolidation process. Empirical support for the predictions
1744 of relational memory theory in LTM is considerable, but a key theoretical question
1745 for this chapter has been to what extent the hippocampus contributes this kind of
1746 relational processing to other cognitive operations. For example, when binding is
1747 required by tests that do not tap long-term declarative memories, is a hippocampal
1748 contribution required? Much of the evidence that we have reviewed here is consis-
1749 tent with this possibility. As such, the relational memory theory continues to make
1750 important and accurate predictions more than two decades after its debut.

1751 Despite the continued success of relational memory theory, findings that imply a
1752 hippocampal role in perception could constitute something of a challenge. As
1753 implied by its name, the perceptual-mnemonic theory (PMT) of MTL and hippo-
1754 campal function suggests that these structures contribute to (at least) two distinct
1755 cognitive domains, namely perception and memory (Bussey and Saksida 2007;
1756 Graham et al. 2010; Graham and Gaffan 2005; Lee et al. 2012). A key concept in
1757 PMT is that the hierarchical organization of the dorsal stream (Mishkin et al. 2000)
1758 is preserved and extended in the MTL (Bussey and Saksida 2007). PMT is appeal-
1759 ing because it tackles recent findings for hippocampal involvement in cognitive
1760 processes over short intervals head on, and because it extends an established model
1761 of hierarchical visual representation in the brain (Mishkin et al. 2000). Befitting the
1762 apical position of the hippocampus in the ventral visual stream, PMT suggests that
1763 this structure is uniquely capable of contributing to the perception of complex
1764 scenes (Bussey and Saksida 2007; Graham et al. 2010; Graham and Gaffan 2005;
1765 Lee et al. 2012). Some findings described in this chapter support this claim
1766 (reviewed by Douglas and Lee 2015; Lee et al. 2012), but it is not yet clear whether
1767 the scope of PMT is sufficient to encompass the entire breadth of hippocampal
1768 contributions to cognition. Despite this uncertainty, the originators deserve great

credit for proposing a theory with solid empirical foundations that is capable of generating empirically testable hypotheses.

Another recent account that explicitly attempts to address a potential dual role for the hippocampus in perception and memory is the high-resolution binding theory (HRBT) (Yonelinas 2013). HRBT suggests that the hippocampus supports "... the generalization and utilization of complex high-resolution bindings that link together the qualitative aspects that make up an event" (p. 34). HRBT incorporates key components of the declarative and relational memory theories to address hippocampal contributions to memory as well as portions of perceptual-mnemonic theory to account for recent perception-oriented findings. The claims of HRBT are broadly consistent with contemporary data although certain findings of hippocampal involvement in the maintenance of relatively simple stimuli over short intervals or specific relational failures may not be addressed (Race et al. 2013; Warren et al. 2010, 2014; Watson et al. 2013). A more thorough evaluation of HRBT may require the accumulation of new data to test whether its impressive explanatory power will be matched by the quality of its novel predictions (e.g., evidence of high-resolution bindings operating in recollection, language, and other cognitive processes).

Finally, a model proposed recently by Henke (2010) takes an aggressive stance on the consciousness issue. This model shares a number of key tenets with the relational memory theory (Cohen and Eid 1993) and related proposals that have made increasingly specific claims about the role of MTL cortical structures (particularly perirhinal and parahippocampal cortices) in memory (e.g., Davachi 2006; Eicehenbaum et al. 2007; Diana et al. 2007). This model holds tight to proposed divisions between long-term memory systems (e.g., episodic, semantic, procedural), but suggests that the differences among them come down to processing speed and flexibility of the resulting memory representations, rather than consciousness. There is a good deal of existing empirical support for this model, and it suggests a number of hypotheses that can be tested to further evaluate the viability of claims that have been made. It does not seem, however, to directly consider hippocampal contributions to cognitive function outside the domain of LTM (e.g., perception and short-term retention), though it seems possible that the same basic principles would apply.

In conclusion, our summary finds the literature describing hippocampal contributions to cognition at a moment of significant change that prompts fundamental questions about the nature of conscious memory access, perception, and representation of information over the short-term. For example, an important constraint on hippocampal involvement in cognitive processes beyond LTM may be the representational and/or processing demands of a particular task. Much recent work was initiated in the context of theories that have proposed a role for the hippocampus in relational binding and representation. As indicated above, this view implicates the hippocampus in the encoding, subsequent retrieval, and flexible use of representations that contain information about items bound together in space and time. In turn, this new work, including several of the studies that were summarized here, has led to important observations that compel reconsideration of some key tenets of established theories. For example, in each of the three domains that were examined,

1814 it seems to be the case that the hippocampus contributes not only to binding of items
 1815 and context or inter-item binding, but also to feature binding when task demands
 1816 require detailed intra-item information for successful performance. As we have
 1817 suggested, it may be the case that the lens of the hippocampus can be dynamically
 1818 adjusted, so that the “focus” of this structure targets items in broader contextual
 1819 settings, or is optimized to process features within an item, depending on task
 1820 demands. For example, when face recognition depends critically on high-fidelity
 1821 representation of the component parts, because it has been viewed from several
 1822 different perspectives during encoding, flexible representation of the relationships
 1823 among face features may be required to support successful performance. Similarly,
 1824 when an ellipse, tilted 45° from vertical, has to be distinguished from similar
 1825 exemplars in a test display, or insulated from other similar exemplars across trials,
 1826 a bound representation of *that* item (i.e. its features) to specific temporal context
 1827 might be required for successful performance. In sum, it seems that the reach of the
 1828 hippocampus does indeed go beyond long-term declarative memory; now, investi-
 1829 gators must begin to address questions about the specific characteristics of these
 1830 contributions.

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